1 2 3 4 5 6 7 8 9	SEYFARTH SHAW LLP Nick C. Geannacopulos (SBN 114822) ngeannacopulos@seyfarth.com Emily Barker (SBN 275166) ebarker@seyfarth.com 560 Mission Street, 31st Floor San Francisco, California 94105 Telephone: (415) 397-2823 Facsimile: (415) 397-8549  SEYFARTH SHAW LLP Kyle Petersen (Pro hac vice application forthcoming kpetersen@seyfarth.com 131 South Dearborn Street, Suite 2400 Chicago, Illinois 60603 Telephone: (312) 460-5000 Facsimile: (312) 460-7000	g)
10 11	Attorneys for Defendant SEDGWICK LLP	
12 13	UNITED STATES	DISTRICT COURT
14	NORTHERN DISTR	ICT OF CALIFORNIA
15 16 17 18 19 20 21	TRACI RIBEIRO, on behalf of herself and all others similarly situated,  Plaintiff,  v.  SEDGWICK LLP,  Defendant.	Case No  DEFENDANT SEDGWICK LLP'S NOTICE OF REMOVAL OF CIVIL ACTION  [28 U.S.C. § 1446]  Trial Date: Not Set Date Action Filed: July 26, 2016 Summons/Complaint Served: August 3, 2016
22 23 24 25 26 27 28	TO THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF CALIFORNIA AND TO PLAINTIFF TRACI RIBEIRO AND HER ATTORNEY OF RECORD PLEASE TAKE NOTICE that Defendant Sedgwick LLP hereby files this Notice of Removal pursuant to 28 U.S.C. sections 1441 and 1446, asserting federal question jurisdiction pursuant to 28 U.S.C. section 1331, to effect the removal of the above-captioned action from the Superior Court of California, County of San Francisco.	

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#### **BACKGROUND**

- 1. On July 26, 2016, Plaintiff Traci Ribeiro ("Plaintiff") filed a Complaint against Defendant Sedgwick LLP ("Sedgwick" or "Defendant") in the Superior Court of California, County of San Francisco, entitled: *Traci Ribeiro, et al. v. Sedgwick LLP*, Case No. CGC-16-553231.
- 2. Plaintiff's Complaint purports to allege claims for relief against Defendant stemming from Plaintiff's partnership in the Defendant, namely Defendant's alleged failure to compensate her in an amount commensurate to male counterparts (despite her being one of the most highly compensated partners) and alleged failure to elect her to equity partnership. Plaintiff bases her claims on, among other things, alleged violations by Defendant of: the Federal Equal Pay Act, 29 U.S.C. §§ 206, et seq. and § 215(a)(3) (Seventh and Eighth Causes of Action for discrimination and retaliation); and Title VII, 42 U.S.C. § 2000e, et seq. (Fourteenth and Fifteenth Causes of Action for discrimination and retaliation). Plaintiff also asserts claims pursuant to the California Fair Pay Act, Cal. Lab. Code § 1197.5, et seg. (Third and Fourth Causes of Action for discrimination and retaliation), Illinois Equal Pay Act, 820 ILCS 112/1, et seq. (Fifth and Sixth Causes of Action for discrimination and retaliation), California Fair Employment and Housing Act, Cal. Gov. Code § 12940, et seq. (Ninth Cause of Action for disparate impact/treatment), California Fair Employment and Housing Act, Cal. Gov. Code § 12940(h) (Tenth Cause of Action for retaliation), California Fair Employment and Housing Act, Cal. Gov. Code § 12940, et seq. (Eleventh Cause of Action for failure to prevent discrimination), Illinois Human Rights Act, 740 ILCS 5/, et seq. (Twelfth Cause of Action for disparate treatment/impact), Illinois Human Rights Act, 740 ILCS 5/,et seq. (Thirteenth Cause of Action for retaliation), as well as claims for declaratory relief (First Cause of Action) and breach of implied contract (Second Cause of Action).

#### **TIMELINESS OF REMOVAL**

- 3. On August 3, 2016, Plaintiff served Defendant with the Summons and Complaint.
- 4. This Notice of Removal is timely filed as it is filed within thirty (30) days of the service upon Defendants of a copy of the Summons and Complaint. 28 U.S.C. § 1446(b); *Murphy Bros., Inc. v. Michetti Pipe Stringing, Inc.*, 526 U.S. 344 (1999) (30-day deadline to remove commences upon service of the summons and complaint).

#### **BASES FOR REMOVAL**

#### FEDERAL QUESTION JURISDICTION

- 5. Plaintiff asserts claims under two separate federal statutes: the Federal Equal Pay Act, 29 U.S.C. §§ 206, *et seq.* and § 215(a)(3); and Title VII, 42 U.S.C. § 2000e, *et seq.* This action therefore presents a federal question over which this Court has original jurisdiction. 28 U.S.C. § 1331 (conferring original jurisdiction upon federal courts for actions arising under the laws of the United States).
- 6. Plaintiff asserts the remainder of her claims under California and Illinois law. These claims, however, arise from her partnership in Defendant, and are based on the same factual allegations as those underlying her federal claims; namely, Defendant's alleged failure to compensate her in an amount commensurate to male counterparts (despite her being one of the most highly compensated partners) and alleged failure to elect her to equity partnership. Therefore, the claims are so related that they form part of the same case or controversy. Accordingly, this Court has supplemental jurisdiction over all of Plaintiff's claims pled under California law, pursuant to 28 U.S.C. Section 1367(a).

#### **VENUE**

7. Venue lies in the United States District Court for the Northern District of California pursuant to 28 U.S.C. sections 1441, 1446(a), and 84(a). This action originally was brought in the Superior Court of the State of California, County San Francisco and thus should be removed to the Oakland Division of the Northern District of California per Northern District Civil Local Rule 3-2 subsections (c) & (d).

#### **NOTICE OF REMOVAL**

- 8. This Notice of Removal will be promptly served on Plaintiff and filed with the Clerk of the Superior Court of the State of California in and for the County of San Francisco.
- 9. In compliance with 28 U.S.C. section 1446(a), a copy of Plaintiff's Summons and Complaint, Civil Cover Sheet, Answer and all other process, pleadings, and orders served on Defendants in the state court action are attached as **Exhibit A**.

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### Case 3:16-cv-04507-WHA Document 1 Filed 08/10/16 Page 4 of 59

1	10. WHERI	EFORE, Defendant prays the	at this civil action be removed from the Superior
2	Court of the State of Ca	alifornia, County of San Fra	ncisco to the United States District Court for the
3	Northern District of Ca	llifornia, Oakland Division.	
4			
5	DATED: August 10, 2	2016	Respectfully submitted,
6			SEYFARTH SHAW LLP
7			
8			By: /s/ Nick C. Geannacopulos
9			Nick C. Geannacopulos Emily Barker
10			Attorneys for Defendant SEDGWICK LLP
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## **EXHIBIT A**

		CM-010
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Ber Sharon R. Vinick, Esq., SBN 129914	number, and address):	FOR COURT USE ONLY
Levy Vinick Burrell Hyams LLP		
180 Grand Avenue, Suite 1300		ENDORSED
Oakland, CA 94612	510 219 7701	FILED
TELEPHONE NO.: 510 318-7700 ATTORNEY FOR (Name): Plaintiff TRACI RIB	FAX NO.: 510 318-7701	San Francisco County Superior Court
SUPERIOR COURT OF CALIFORNIA, COUNTY OF Sa		**Antonian and and
STREET ADDRESS: 400 McAllister Street		JUL 2 6 2016
MAILING ADDRESS:		
CITY AND ZIP CODE: San Francisco, CA 94	1102	CLERK OF THE COURT
BRANCH NAME: Main		MADONNA CARANTO
CASE NAME:		BY: Deputy Clerk
Traci Ribeiro. on behalf of herself ar	id all others, etc. v. Sedgwick LL	
CIVIL CASE COVER SHEET	Complex Case Designation	COSE AND REP.
✓ Unlimited Limited	,	16-553231
(Amount (Amount	Counter Joinder	
demanded demanded is	Filed with first appearance by defen-	dant JUDGE:
exceeds \$25,000 \$25,000 or less)	(Cal. Rules of Cour, rule 5.402)	DEń
	ow must be completed (see instructions	on page 2).
1. Check one box below for the case type tha		·
Auto Tort	Contract	Provisionally Complex Civil Litigation
Auto (22)	Breach of contract/warranty (06)	(Cal. Rules of Court, rules 3.400–3.403)
Uninsured motorist (46)	Rule 3.740 collections (09)	Antitrust/Trade regulation (03)
Other PI/PD/WD (Personal Injury/Property	Other collections (09)	Construction defect (10)
Damage/Wrongful Death) Tort  Asbestos (04)	Insurance coverage (18)	Mass tort (40)
Product liability (24)	Other contract (37)	Securities litigation (28)
Medical malpractice (45)	Real Property	Environmental/Toxic tort (30)
Other PI/PD/WD (23)	Eminent domain/Inverse condemnation (14)	Insurance coverage claims arising from the above listed provisionally complex case
	Wrongful eviction (33)	types (41)
Non-PI/PD/WD (Other) Tort		Enforcement of Judgment
Business tort/unfair business practice (07)	Unlawful Detainer	Enforcement of judgment (20)
Civil rights (08)	Commercial (31)	
Defamation (13)		Miscellaneous Civil Complaint
Fraud (16)	Residential (32)	RICO (27)
Intellectual property (19)	Drugs (38)	Other complaint (not specified above) (42)
Professional negligence (25)	Judicial Review	Miscellaneous Civil Petition
Other non-PI/PD/WD tort (35)	Asset forfeiture (05)	Partnership and corporate governance (21)
Employment	Petition re: arbitration award (11)	Other petition (not specified above) (43)
Wrongful termination (36)	Writ of mandate (02)	
Other employment (15)	Other judicial review (39)	
2. This case is is notcomp factors requiring exceptional judicial manage		ules of Court. If the case is complex, mark the
		* 9
a. Large number of separately repres		r of witnesses
b. Extensive motion practice raising of		with related actions pending in one or more courts
issues that will be time-consuming		ties, states, or countries, or in a federal court
c. Substantial amount of documentar	y evidence f. L Substantial p	ostjudgment judicial supervision
3. Remedies sought (check all that apply): a.	✓ monetary b. ✓ nonmonetary: o	declaratory or injunctive relief c. 🗸 punitive
4. Number of causes of action (specify): fif		and a second process of the second process o
	s action suit.	
6. If there are any known related cases, file at		nav use form CM-015)
Date: July 76, 2016	O C	)
Sharon R. Vinick	<b>\</b> \ \ \ \ \ \	
(TYPE OR PRINT NAME)		IGNATURE OF PARTY OR ATTORNEY FOR PARTY)
(TITE OR FRINT NAME)	NOTICE	ISMATURE OF PARTY OR ATTORNEY FOR PARTY
Plaintiff must file this cover sheet with the file.	rst paper filed in the action or proceedin	g (except small claims cases or cases filed
under the Probate Code, Family Code, or V	Velfare and Institutions Code). (Cal. Rule	es of Court, rule 3.220.) Failure to file may result
in sanctions.		
<ul> <li>File this cover sheet in addition to any cover sheet required by local court rule.</li> <li>If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all</li> </ul>		
other postine to the patient and an all and all and a second a second and a second		
Unless this is a collections case under rule	3.740 or a complex case, this cover she	et will be used for statistical purposes only.
	,, ,	Page 1 of 2

CM-010

#### INSTRUCTIONS ON HOW TO COMPLETE THE COVER SHEET

To Plaintiffs and Others Filing First Papers. If you are filing a first paper (for example, a complaint) in a civil case, you must complete and file, along with your first paper, the Civil Case Cover Sheet contained on page 1. This information will be used to compile statistics about the types and numbers of cases filed. You must complete items 1 through 6 on the sheet. In item 1, you must check one box for the case type that best describes the case. If the case fits both a general and a more specific type of case listed in item 1, check the more specific one. If the case has multiple causes of action, check the box that best indicates the primary cause of action. To assist you in completing the sheet, examples of the cases that belong under each case type in item 1 are provided below. A cover sheet must be filed only with your initial paper. Failure to file a cover sheet with the first paper filed in a civil case may subject a party, its counsel, or both to sanctions under rules 2.30 and 3.220 of the California Rules of Court.

To Parties in Rule 3.740 Collections Cases. A "collections case" under rule 3.740 is defined as an action for recovery of money owed in a sum stated to be certain that is not more than \$25,000, exclusive of interest and attorney's fees, arising from a transaction in which property, services, or money was acquired on credit. A collections case does not include an action seeking the following: (1) tort damages, (2) punitive damages, (3) recovery of real property, (4) recovery of personal property, or (5) a prejudgment writ of attachment. The identification of a case as a rule 3.740 collections case on this form means that it will be exempt from the general time-for-service requirements and case management rules, unless a defendant files a responsive pleading. A rule 3.740 collections case will be subject to the requirements for service and obtaining a judgment in rule 3.740.

To Parties in Complex Cases. In complex cases only, parties must also use the Civil Case Cover Sheet to designate whether the case is complex. If a plaintiff believes the case is complex under rule 3.400 of the California Rules of Court, this must be indicated by completing the appropriate boxes in items 1 and 2. If a plaintiff designates a case as complex, the cover sheet must be served with the complaint on all parties to the action. A defendant may file and serve no later than the time of its first appearance a joinder in the plaintiff's designation, a counter-designation that the case is not complex, or, if the plaintiff has made no designation, a designation that the case is complex.

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Auto Tort
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Auto (22)-Personal Injury/Property Damage/Wrongful Death Uninsured Motorist (46) (if the case involves an uninsured motorist claim subject to arbitration, check this item instead of Auto)

#### Other PI/PD/WD (Personal injury/ Property Damage/Wrongful Death) Tort

Asbestos (04)

Asbestos Property Damage Asbestos Personal Injury/ Wrongful Death Product Liability (not asbestos or toxic/environmental) (24)

Medical Malpractice (45) Medical Malpractice-

Malpractice

Physicians & Surgeons Other Professional Health Care

Other PI/PD/WD (23)

Premises Liability (e.g., slip and fall)

Intentional Bodily Injury/PD/WD (e.g., assault, vandalism)

Intentional Infliction of

**Emotional Distress** Negligent Infliction of **Emotional Distress** Other PI/PD/WD

#### Non-PI/PD/WD (Other) Tort

Business Tort/Unfair Business Practice (07)

Civil Rights (e.g., discrimination, false arrest) (not civil harassment) (08)

Defamation (e.g., slander, libel)

(13)Fraud (16)

Intellectual Property (19) Professional Negligence (25)

Legal Malpractice Other Professional Malpractice (not medical or legal)

Other Non-PI/PD/WD Tort (35)

**Employment** 

Wrongful Termination (36) Other Employment (15)

#### CASE TYPES AND EXAMPLES

#### Contract

Breach of Contract/Warranty (06) Breach of Rental/Lease Contract (not unlawful detainer or wrongful eviction) Contract/Warranty Breach-Seller Plaintiff (not fraud or negligence) Negligent Breach of Contract/ Warranty

Other Breach of Contract/Warranty Collections (e.g., money owed, open book accounts) (09)

Collection Case-Seller Plaintiff Other Promissory Note/Collections

Case Insurance Coverage (not provisionally complex) (18)

Auto Subrogation Other Coverage

Other Contract (37) Contractual Fraud

Other Contract Dispute

#### **Real Property**

Eminent Domain/Inverse Condemnation (14) Wrongful Eviction (33)

Other Real Property (e.g., quiet title) (26) Writ of Possession of Real Property

Mortgage Foreclosure Quiet Title

Other Real Property (not eminent domain, landlord/tenant, or

#### foreclosure) **Unlawful Detainer**

Commercial (31)

Residential (32)

Drugs (38) (if the case involves illegal drugs, check this item; otherwise, report as Commercial or Residential)

#### Judicial Review

Asset Forfeiture (05)

Petition Re: Arbitration Award (11)

Writ of Mandate (02)

Writ-Administrative Mandamus Writ-Mandamus on Limited Court

Case Matter

Writ-Other Limited Court Case

Review

Other Judicial Review (39) Review of Health Officer Order

Notice of Appeal-Labor Commissioner Appeals

#### Provisionally Complex Civil Litigation (Cal. Rules of Court Rules 3.400-3.403)

Antitrust/Trade Regulation (03) Construction Defect (10) Claims Involving Mass Tort (40) Securities Litigation (28) Environmental/Toxic Tort (30) Insurance Coverage Claims

(arising from provisionally complex case type listed above) (41)

#### **Enforcement of Judgment**

Enforcement of Judgment (20) Abstract of Judgment (Out of County)

Confession of Judgment (nondomestic relations) Sister State Judgment Administrative Agency Award

(not unpaid taxes) Petition/Certification of Entry of Judgment on Unpaid Taxes Other Enforcement of Judgment Case

#### Miscellaneous Civil Complaint

RICO (27)

Other Complaint (not specified above) (42)

Declaratory Relief Only Injunctive Relief Only (nonharassment)

Mechanics Lien

Other Commercial Complaint Case (non-tort/non-complex)

#### Other Civil Complaint (non-tort/non-complex)

#### Miscellaneous Civil Petition Partnership and Corporate

Governance (21) Other Petition (not specified above) (43)

Civil Harassment Workplace Violence Elder/Dependent Adult Abuse

**Election Contest** Petition for Name Change Petition for Relief From Late Claim

Other Civil Petition

	SUM-100
SUMMONS (CITACION JUDICIAL)	FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)
NOTICE TO DEFENDANT: (AVISO AL DEMANDADO):	
SEDGWICK LLP	
YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):	
TRACI RIBEIRO, on behalf of herself and all others similarly situated	
NOTICE! You have been sued. The court may decide against you without your being heard unless y below.  You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file at served on the piaintiff. A letter or phone call will not protect you. Your written response must be in procase. There may be a court form that you can use for your response. You can find these court forms Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse of the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case may be taken without further warning from the court.  There are other legal requirements. You may want to call an attorney right away. If you do not know referral service. If you cannot afford an attorney, you may be eligible for free legal services from a not these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), or psepande dentro de 30 dias, la corte puede decidir en su contra continuación.  Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales per corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo pen formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formula Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Corte biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota que le de un formulario de exención de pa	written response at this court and have a copy oper legal form if you want the court to near yours and more information at the California Courts nearest you. If you cannot pay the filing fee, ask by default, and your wages, money, and property ow an attorney, you may want to call an attorney on profit legal services program. You can locate allifornia Courts Online Self-Help Center. The court has a statutory lien for waived fees and be paid before the court will dismiss the case. It is a presentar una respuesta por escrito en esta rare presentar una respuesta por escrito en esta rare que usted pueda usar para su respuesta. It is a de California (www.sucorte.ca.gov), en la de presentación, pida al secretario de la corte de perder el caso por incumplimiento y la corte le noce a un abogado, puede llamar a un servicio de ra obtener servicios legales gratuitos de un el sitio web de California Legal Services, you o poniéndose en contacto con la corte o el os exentos por imponer un grayamen sobre
The name and address of the court is: (El nombre y dirección de la corte es): San Francisco Superior Court	CASE NUMBER: (Número del Caso):
The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attor (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante. Sharon R. Vinick, Esq.; Levy Vinick, et al., 180 Grand Ave., #1300, Oakla	ndante que no tiene abogado, es):
DATE: (Fecha) JUL <b>26</b> 2016 CLERK OF THE COURT Clerk, by (Secretario) (For proof of service of this summons, use Proof of Service of Summons (form POS-010).)	ADONNA CARANTO , Deputy (Adjunto)
(Para prueba de entrega de esta citatión use el formulario Proof of Service of Summons, (P  NOTICE TO THE PERSON SERVED: You are served  1. as an individual defendant.  2. as the person sued under the fictitious name of (s	,
3. on behalf of (specify):  under: CCP 416.10 (corporation)  CCP 416.20 (defunct corporation)  CCP 416.40 (association or partnership)  other (specify):	CCP 416.60 (minor) CCP 416.70 (conservatee) CCP 416.90 (authorized person)



Chicago, Illinois 60606 Main: (312) 554-8600 Fax: (312)-577-0749 bryan@jbryanwoodlaw.com  SUPERIOR COURT OF THE STATE OF CALIFORNIA  COUNTY OF SAN FRANCISCO	
CGC-16-55323	1
13   Case No.	,
14	
15 COMPLAINT FOR DAMAGES	
1. DECLARATORY RELIEF REGARI ALLEGED ARBITRATION AGREE 2. BREACH OF IMPLIED CONTRACT	MENT
TRACI RIBEIRO, on behalf of herself and all others similarly situated,  PLAINTIFF,  V.  3. CALIFORNIA FAIR PAY ACT CALIFORNIA FAIR PAY ACT RETALIATION 5. ILLINOIS EQUAL PAY ACT 6. ILLINOIS EQUAL PAY ACT RETA 7. FEDERAL EQUAL PAY ACT 8. FEDERAL EQUAL PAY ACT RETA 9. GENDER DISCRIMINATION UNDER	LIATION LIATION
21 SEDGWICK LLP,  10. RETALIATION UNDER FEHA 11. FAILURE TO PREVENT OR CORR DISCRIMINATION UNDER FEHA	ЕСТ
DEFENDANT.  12. GENDER DISCRIMINATION UNDER ILLINOIS HUMAN RIGHTS ACT  13. RETALIATION UNDER ILLINOIS I RIGHTS ACT	
23   14. GENDER DISCRIMINATION UNDE	RTITLE
24   15. RETALIATION UNDER TITLE VII	
Amount in Controversy Exceeds \$25,0	)00
26 Jury Trial Demanded	
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COMPLAINT FOR DAMAGES	

### **CLASS ACTION AND COLLECTIVE ACTION COMPLAINT**

Plaintiff Traci Ribeiro ("Plaintiff" or "Ribeiro"), on behalf of herself and all others similarly situated, by and through her attorneys, Levy Vinick Burrell Hyams LLP and The Wood Law Office, LLC, hereby files this Complaint against Defendant Sedgwick LLP ("Defendant," "Sedgwick" or "the Firm"), and states as follows:

#### I. INTRODUCTION

- 1. Plaintiff Traci Ribeiro is a non-equity partner at Sedgwick LLP an international law firm employing over 300 attorneys. Over the last 3 years, Ribeiro has been one of Sedgwick's highest revenue-generating partners. Ribeiro has consistently opposed gender pay inequities at Sedgwick LLP.
- 2. When Ribeiro filed an EEOC charge asking the government to investigate systemic gender discrimination, Sedgwick attempted to silence her by suing her in arbitration.
- 3. Ribeiro files this lawsuit to end gender inequity at Sedgwick, and seeks relief on behalf of herself and other female attorneys.
- 4. Ribeiro also seeks declaratory judgment that the arbitration agreement is unenforceable because it is procedurally and substantively unconscionable.
- 5. The legal profession, with its characteristic vigilance that justice be served and the goal of equality for all, is addressing the eradication of gender bias in the profession with vigor. The American Bar Association's Task Force on Gender Equity published a "Road Map for Achieving Gender Pay Equity in Law Firm Partner Compensation" that identifies issues such as the composition of Compensation Committees, the "comfort of similarity" (i.e., in-group bias), unconscious bias, and the need for transparency in combatting gender bias and creating a culture that supports gender equity.
- 6. In addition to publishing best practices to end gender discrimination, the American Bar Association is currently in the process of crafting "a distinct rule within the black letter of the Model Rules of Professional Conduct prohibiting lawyers from engaging in

<sup>&</sup>lt;sup>1</sup> The entire ABA Task Force Report is available here: http://www.americanbar.org/content/dam/aba/administrative/women/closing\_the\_gap.authcheck\_dam.pdf 2

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- 7. Sedgwick's own equity partners are on the record acknowledging female attorneys are being held back by gender stereotypes.2 But lip service in the media cannot be a substitute for fair and legal treatment of employees.
- 8. Sedgwick's all-male leadership continues to refuse to apply best practices such as those set out by the ABA. Prior to Ribeiro's complaints, no woman had ever served on Sedgwick's Executive Committee – the committee that makes salary and promotion recommendations for non-equity partners. Sedgwick's all-male leadership maintains a closed compensation system with no formal process for applying for promotion to non-equity partner or equity partner. Based on recommendations from the all-male Executive Committee, the predominantly male equity partners continue to seek the "comfort of similarity" (i.e., favorable treatment provided to those most like the decisionmakers) as described by the ABA Task Force.
- Sedgwick denies female attorneys equal opportunities for promotion and compensates them less than male attorneys. Sedgwick pays females attorneys substantially less than their male counterparts. Sedgwick's male-dominated culture systematically excludes women from positions of power within the Firm, which in turn leads to lower compensation for female attorneys as compared to male attorneys.
- 10. Female attorneys cannot crack the glass ceiling of equity partnership at Sedgwick. Femåle attorneys make up approximately half of all associates, yet constitute less than 20% of equity partners. Between 2011 and 2015, Sedgwick promoted twice as many male full-time associates to non-equity partner as female full-time associates, even though approximately the same number of male and female attorneys worked as full-time associates each year. In 2015, Sedgwick advanced only one man to equity partner and no women. Since 2013, the percentage of female equity partners is declining.

<sup>&</sup>lt;sup>2</sup> The article quoting Sedgwick Equity Partner Ray Abadin is available here: http://abovethelaw.com/2016/02/female-attorneys-continue-to-be-held-back-by-genderstereotypes/

- 11. Sedgwick has refused to reward even its most successful women. In 2016, a woman who has practiced law at Sedgwick for 34 years (since 1982) became the first female appointed to Sedgwick's Executive Committee. But this woman was appointed only after Ribeiro formally alleged systemic gender discrimination at the Firm in January 2016. Like many other women, Ribeiro has not advanced to equity partner despite being exceedingly qualified and successful as compared to male peers.
- 12. Ribeiro was the Firm's third highest revenue-generator in 2015 and is one of a small group of attorneys at Sedgwick who repeatedly generate multi-million dollar annual revenues. She makes time to participate in associate development and Firm committees. But no matter what she does, she cannot break the glass ceiling of admission to equity partnership at Sedgwick. And like other female attorneys, she is undercompensated consistently.
- 13. Ribeiro is decisive and assertive. Those skills enable her to obtain successful client outcomes, which result in her being entrusted with additional client engagements. But because she is a woman, Sedgwick's male leadership perceives her as a second-class citizen who should be kept in her place. Sedgwick's attitude is exacerbated by the fact that Ribeiro has opposed gender pay inequity for herself and female associates.
- 14. Like other female attorneys, Ribeiro is caught in the "Catch-22" explained by the Supreme Court in *Price Waterhouse v. Hopkins*, 490 U.S. 228, 250-51 (1989): "An employer who objects to aggressiveness in women but whose positions require this trait places women in an intolerable and impermissible catch 22: out of a job if they behave aggressively and out of a job if they do not." But, as the Supreme Court also explained, "Title VII lifts women out of this bind." *Id*.
- 15. Ribeiro seeks to break the Catch-22 cycle at Sedgwick. Ribeiro brings this lawsuit to hold Sedgwick accountable for its unlawful and discriminatory treatment of a class of Sedgwick's past and present female attorneys in partnership track positions, to make class members whole, and to provide class-wide injunctive relief to end Sedgwick's company-wide pattern or practice of gender discrimination.

#### II. JURISDICTION, VENUE

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This Court has jurisdiction over Plaintiff's claims pursuant to California 16. Government Code §12965. Venue is proper in this Court because Defendant is located in San Francisco and many of the incidents giving rise to Plaintiff's claims took place in this county.

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27 28 III. PARTIES & BACKGROUND

- 17. Sedgwick is a San Francisco-based international litigation and business law firm that provides counseling, risk management, litigation management, trial, appellate and transactional legal services to corporate clients. Sedgwick employs over 300 attorneys in 13 cities across 7 states and the District of Columbia, as well as international offices, and has over 500 employees in the United States.
- 18. Sedgwick is organized and operates pursuant to a Partnership Agreement. Pursuant to its Partnership Agreement, Sedgwick is managed by the Chair of the Firm with the assistance of the Executive Committee. The Executive Committee is comprised of the Chair, the head of each of the Firm's three divisions (Insurance Practices, Complex Litigation, and Commercial Practices) and one at-large member. All members of the Executive Committee are equity partners. Until 2016 and Ribeiro's complaint about it, all Executive Committee members have been male.
- 19. Presently, Michael F. Healy (male) is the Chair of the Firm. Prior to Healy's election in February 2015, Michael Tanenbaum (male) was Chair for seven years. The Firm has never had a woman as Chair of the Firm.
- Throughout Ribeiro's employment, the Chair of the Firm and the majority of the 20. Executive Committee members have been from Sedgwick's California offices. The majority of the recommendations and/or decisions impacting Sedgwick's female attorneys described herein were made in California.
- 21. Plaintiff Traci Ribeiro is a female attorney who currently resides in Illinois. Ribeiro has been employed by Sedgwick as an attorney on partnership track since 2011. Ribeiro is based in Sedgwick's Chicago office, and her practice is nationwide.
  - 22. Throughout her employment, Ribeiro has worked in Sedgwick's Insurance

Practices Division. Initially, Bruce Celebrezze (male) was Insurance Practices Division Chair; he also has served as the Firm's Acting General Counsel. Ralph Guirgis (male) has served as Insurance Practices Division Chair since approximately May 2015.

- 23. Sedgwick's Executive Committee makes recommendations to the partnership for compensation for each attorney and makes recommendations on whether an attorney should be promoted to partner (non-equity or equity). The recommendations and decisions are based on numerous subjective criteria, such as personal attributes, which are susceptible to gender bias.
- 24. Each year, Sedgwick determines how much compensation each attorney will receive and which attorneys (if any) will be promoted to partner (non-equity or equity). Non-equity partners receive a "base compensation" during the calendar year until Sedgwick determines each non-equity partner's total annual compensation at its annual November meeting of equity partners; remaining compensation due is then paid in December of that year. As explained to Ribeiro, an attorney's prior year's compensation is a factor in determining that attorney's compensation for the current year (e.g., 2014's annual compensation is a factor in determining 2015's annual compensation).
- 25. There is no process at Sedgwick for a non-equity partner to apply for promotion to equity partner. Attorneys are informed of their promotion to equity after a decision is made by the Firm typically at its annual November meeting of equity partners.
- 26. Sedgwick's written policies validate and encourage in-group bias as criteria for promotion. Sedgwick's Partnership Agreement does not even reference Equal Employment opportunities, unlawful discrimination, or retaliation. Sedgwick's Partnership Agreement also does not identify the qualifications necessary for promotion to partner. Sedgwick's Partner Handbook (Section I.B) identifies the category "Personal Attributes" as criteria for partnership, requiring in part, that the candidate be "well liked and respected by his or her colleagues in the Firm." Stereotypical views about women permeate Defendant's decisionmaking because it relies on highly subjective criteria such as "personal attributes."
- 27. Sedgwick's male-dominated culture creates an environment where gender stereotypes flourish. This culture drives the Executive Committee's recommendations regarding

compensation and promotion and the attendant compensation and promotion decisions by the Firm. Sedgwick has denied Ribeiro and other past and present female attorneys in partnership track positions equal terms, conditions, privileges and benefits of employment on the basis of gender and gender stereotypes.

28. There is no safe haven from discrimination at Sedgwick. Sedgwick's "Women's Forum" is presumably intended to help advance women, but in practical effect serves to institutionalize women's lower rank at the firm. Ribeiro spoke with a leader of the Sedgwick Women's Forum and expressed concern over the Firm's treatment of women; Ribeiro was informed by her that "Sedgwick discriminates, but just not as much as other firms."

#### IV. PLAINTIFF'S TREATMENT AND OPPOSITION TO GENDER INEQUITY

- 29. Traci Ribeiro graduated from American University, Washington College of Law in 1995. She is admitted to practice law in Arizona, Illinois, New York and Pennsylvania.
- 30. Ribeiro joined Sedgwick in 2011. Throughout her employment at Sedgwick, Ribeiro has more than satisfactorily performed all duties assigned to her. In calendar year 2015, Ribeiro was the third highest revenue-generator for the entire Firm based on origination of billings collected.
- 31. Ribeiro typically out performs her peers on objective evaluation criteria, including billings, revenues collected, billable hours, number of matters originated, fee realization, associate utilization and other criteria.
- 32. During Ribeiro's employment, male attorneys have advanced to the position of partner and equity partner at far greater rates than women while the percentage of female equity partners is declining. Additionally, male attorneys have been and are currently paid more than female attorneys.
- 33. In late 2011 (effective 2012), Ribeiro was promoted to non-equity partner from contract partner (the initial position into which she was hired). Yet in the role of partner, Ribeiro has not been paid the same as male partners; nor has she been paid commensurate with her contributions to the Firm.

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34. In 2012, Ribeiro informed several equity partners, including current and former members of the Management Committee, that she was being paid less than men.

- 35. In approximately September 2012, Ribeiro placed the Firm on notice that female associates were being paid less than comparable men. In opposing gender discrimination to Sedgwick's Human Resources department (specifically former Chief Human Resources Officer David Sanders (male)), Ribeiro advocated for raises for female associates on her team. One of those female attorneys was being paid \$50,000 less per year than a comparable male despite being more productive and profitable; the other was paid \$40,000 a year less than a comparable male attorney despite being more productive and profitable.
- 36. The Firm's reaction to Ribeiro's daring to speak up in an assertive way for herself and others, instead of in a manner that conforms to gender stereotypes for females, was dramatic, decisive and definitive in its negative impact on what would have been Ribeiro's upward trajectory at the Firm if she were a man. In November 2012, Ribeiro was singled out, marginalized, and humiliated by one of the Firm's most important decisionmakers. Bruce Celebrezze (then-Chair of the practice group in which Ribeiro worked) told the room of approximately 60 equity partners that Ribeiro "needed to learn to behave." And, in order to teach her to behave, Celebrezze recommended lowering Ribeiro's compensation for that year. Celebrezze's comments and recommendation were not based on his own personal interactions with Ribeiro; Celebrezze had none on which to base his opinions – he only knew Ribeiro was female. Thus, Celebrezze used gender stereotypes to label Ribeiro as a problem employee instead of saying what she was in reality: a woman who was becoming a future Firm leader. Indeed, if Celebrezze—with his position and influence and in front of that audience—had shared positive impressions of Ribeiro instead of impressions chock full of gender bias, Ribeiro would be a fairly compensated equity partner at Sedgwick today - eliminating the need for this lawsuit.
- 37. Instead of stopping Celebrezze in his tracks, other Sedgwick leaders and decisionmakers also made stereotyped remarks to or about Ribeiro in the scope of evaluating her work and assessing her opportunities at the Firm. In 2013, at the opening of a meeting with the then-Firm Chair on her role at the Firm, the then-Firm Chair told Ribeiro "don't worry, we're not

going to bring you out to the woodshed," which Ribeiro understood to refer to the practice of taking a naughty girl out of the house for a private spanking. A comment like this is characteristic of the paternalistic, dismissive, and gender-biased way Ribeiro has been treated by Sedgwick and would not have been said to a male attorney. Discussion of a spanking has no place in a conversation about a female's professional prospects.

- 38. Throughout 2013, Ribeiro continued to communicate with then-Division Chair Bruce Celebrezze about her inappropriate compensation. Despite the humiliation of knowing Celebrezze told the equity partners they needed to teach Ribeiro to "behave," Ribeiro still continued to try to work with him inside of the Sedgwick system. Soon after Ribeiro learned what her compensation would be for 2013, a female equity partner admitted to Ribeiro that she should have received significantly more given the revenue Ribeiro generated for the Firm. Ribeiro was likewise undercompensated for 2014 and 2015.
- 39. Throughout her employment, Ribeiro has made it known to partners that she wanted to become an equity partner. Though Sedgwick has no formal path that leads to elevation to equity partner, that position is the pinnacle of advancement in any partnership. Despite Ribeiro's objective qualifications and track record of success, she has not been offered promotion to equity partner. Ribeiro was passed over for promotion in 2013, 2014 and 2015.
- 40. In an attempt to address her pay and promotion inequity, Ribeiro requested a meeting with the newly-elected Firm Chair, Mike Healy, in February 2015. Ribeiro met with Healy in March 2015 in Chicago, to enlist the support of the Firm's new leadership to treat her fairly. During the meeting, Ribeiro shared her concerns about the manner in which she was being treated at the Firm, including her dismay regarding the failure to promote her to equity partner and the failure to compensate her fairly. Healy did not want to hear about the discriminatory behavior Ribeiro had been subjected to at the hands of Celebrezze, Tanenbaum, and others. Healy insinuated that it was Ribeiro's fault that she was not yet an equity partner.
- 41. In a two-hour meeting on her role at the Firm in which she sought guidance on how to shape a productive future there, Ribeiro was given no explanation for why she had not yet been promoted to equity partner. Healy suggested she could "do better," but did not identify a

single category in which she had not excelled or in which she could improve. He gave her no advice on how to advance at the Firm, but he did ask her whether she could keep less productive partners busy in the California offices with her robust case load. In fact, the majority of the meeting was spent discussing how Ribeiro should "keep doing what you're [she was] doing," in order to grow her book of business at Sedgwick.

- 42. Shortly after this meeting, realizing that she could not achieve fair treatment at Sedgwick because of the gender bias of its decisionmakers, Ribeiro requested to return to contract partner status so that she could get fair compensation through a contract that paid her a percentage of revenue generated (i.e., through a formula). Ribeiro made this request in an attempt to be compensated fairly and objectively without gender bias or gender discrimination.
- 43. For six months Ribeiro waited for formal approval of her request to move to contract partner status. Sedgwick had led Ribeiro to believe her request would be granted. In September 2015, however, Sedgwick denied Ribeiro's request and told her it was because there were "better things" in store for her at Sedgwick. Ribeiro believed the denial of her request and this messaging was the Firm leadership signaling to her that she was going to be made an equity partner in November 2015. Accordingly, between September 2015 and November 2015, Ribeiro again informed several equity partners she wanted to be promoted to equity partner in 2015. And she waited, hopefully and in good faith, for the promotion she had earned years earlier.
- 44. But in November 2015, Sedgwick again denied Ribeiro promotion, despite a stellar track record of contributions to the Firm and her repeated requests to be promoted. The failure to promote her to equity partner was in retaliation for her complaints regarding gender discrimination.
- 45. In November 2015, the Firm promoted one individual to equity partner: a male with drastically lower revenue-generation than Ribeiro. Indeed, upon information and belief, the male promoted had less than 10% of Ribeiro's revenues.
- 46. Ribeiro learned Sedgwick had not promoted her to equity partner again in November 2015. In addition to learning she would not be promoted, Ribeiro also learned that her 2015 compensation was significantly out of step with the revenues she generated for the Firm. In

fact, as a percentage of revenue generated, Ribeiro's 2015 compensation was the lowest compensation she had ever received in her history of employment at Sedgwick.

- 47. Previously, in September 2015, Sedgwick had told Ribeiro what she should expect to be paid for 2015. Healy and Guirgis told Ribeiro the specific amount she could expect to earn based on the Firm's estimates of her collections for the year. No basis for her compensation other than revenue-generated was mentioned during the conversation. By November 2015, Ribeiro had nearly doubled Sedgewick's September estimates of her collections. Despite Ribeiro nearly doubling the Firm's revenue estimates for her, Sedgwick only increased her compensation for 2015 by approximately 10%.
- 48. In November 2015, Ribeiro objected to her compensation. The Firm retaliated against Ribeiro by paying the remainder of her 2015 compensation in two installments, the second of which was in 2016. Customarily, compensation was paid in one installment in December. Ribeiro's compensation had never been delayed in this manner. This payment schedule violates the rules of Sedgwick's Partnership Handbook and, upon information and belief, such a delay had never been imposed upon a male non-equity partner.

#### V. SEDGWICK BREACHED ITS DUTY TO INVESTIGATE

- 49. Under the heading "Equal Opportunity Practices," Sedgwick's Partner Handbook states its "policy prohibits discrimination based on ... sex ... or any other consideration made unlawful by federal, state or local laws." Handbook, Section II. The Handbook also states Sedgwick "will not retaliate against any Partner for filing a complaint or providing evidence as a witness to a complaint, and will not permit retaliation by any person." Handbook, Section II. The Handbook describes how a partner can provide a complaint and promises that Sedgwick "will investigate the complaint of discrimination." Handbook, Section II.
- 50. None of Ribeiro's complaints of unequal pay and unequal treatment through the years were investigated in a timely manner.
- 51. On February 17, 2016, Ribeiro filed a charge of systemic gender discrimination and retaliation with the Equal Employment Opportunity Commission. On April 19, 2016, Ribeiro amended that charge. Ribeiro asked that her charge be cross-filed with the Illinois

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- 52. It was only after Ribeiro filed her EEOC charge that Sedgwick initiated an internal investigation of Ribeiro's allegations pursuant to its Partner Handbook. The Firm's acting general counsel (Celebrezze) either did not know an investigation was required, did not want to investigate his own role in the decisions or did not care enough about the issues raised to conduct an investigation based on Ribeiro's internal Sedgwick complaints.
- 53. Sedgwick's long-overdue "internal investigation" was a sham. Sedgwick hired the same law firm to defend it against Ribeiro's EEOC charge (Seyfarth Shaw LLP) as it hired to conduct its "internal investigation" pursuant to the Partner Handbook. The associate from Seyfarth Shaw who interviewed Ribeiro on March 22, 2016 - purportedly as part of Sedgwick's "internal investigation" - never contacted Ribeiro or her counsel about the status of the investigation after the initial interview, apparently assuming Ribeiro's input and follow-up could not have been useful.
- 54. Through a letter dated March 29, 2016, the EEOC notified Sedgwick it would conduct an investigation of Ribeiro's charge. Days later, on April 8, 2016, Sedgwick (through its counsel Seyfarth Shaw) sought to silence Ribeiro yet again, this time seeking to keep her from having a day in court. The Firm initiated an arbitration demand in California seeking a "declaratory judgment that it neither discriminated nor retaliated against [Ribeiro] in setting Ribeiro's compensation, in determining whether she should be elected equity partner, or on any other ground" (JAMS Ref. No. 1100084095).
- 55. Ribeiro contests jurisdiction of the arbitration forum to resolve the legal claims identified in the charge. By filing its arbitration demand, Sedgwick is attempting to subvert the statutory process for investigation of employment discrimination and retaliation claims and force Ribeiro to litigate those claims before administrative agencies complete their investigations. Sedgwick's request for declaratory relief undermines those agencies' authority to investigate Ribeiro's allegations and determine whether or not the agencies believe Ribeiro or other female attorneys were discriminated against. Additionally, Sedgwick cannot obtain the relief it seeks in

arbitration because that relief is not available under the applicable laws; nor is Sedgwick authorized to initiate suit to pursue it.

56. Sedgwick concluded its investigation with, essentially, a boilerplate letter to Ribeiro dated June 1, 2016. The letter is nothing more than a "C.Y.A." tactic and makes no reference to the systemic, firm-wide allegations Ribeiro raised. The letter does not even indicate that Ribeiro's compensation was compared to other attorney's compensation at Sedgwick.

#### VI. CLASS ACTION ALLEGATIONS

- 57. Ribeiro brings this action on behalf of a class of Sedgwick's past and present female attorneys in partnership track positions for whom Sedgwick's Executive Committee made pay or promotion recommendations. All requirements of class certification are met by the proposed class.
- 58. The class of female employees and former employees is so numerous that joinder of all members is impracticable.
- 59. There are questions of law and fact common to the class, and those questions can and should be resolved in a single proceeding that furthers this litigation.
  - 60. The claims alleged by Ribeiro are typical of the claims of the class.
  - 61. Ribeiro will fairly and adequately represent and protect the interests of the class.
- 62. The issues of determining liability and equitable relief, among other issues, are appropriate for issue certification, as are other common issues.
- 63. The questions of law and fact common to the members of the class predominate over any questions affecting only individual members, and a class action is superior to other available methods for the fair and efficient adjudication of the controversy.
- 64. Class certification is appropriate because Ribeiro seeks declaratory and/or injunctive relief.

#### VII. FIRST CAUSE OF ACTION

### DECLARATORY RELIEF REGARDING ALLEGED ARBITRATION AGREEMENT (Individual Claim)

65. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.

- 66. Defendant contends Section 10.17 of its Partnership Agreement requires arbitration of Plaintiff's statutory employment discrimination and retaliation claims (i.e., the statutory claims for unequal pay, discrimination and retaliation identified herein). Plaintiff contends that Section 10.17 does not cover the parties' disagreement because Section 10.17 was not formed as an enforceable arbitration agreement between the parties and the parties' dispute stems from a separate and distinct contract that is explicit and clear on its four corners the Partner Handbook.
- 67. Section 10.17 contains an illegal and unenforceable delegation clause which permits an arbitrator to decide issues of arbitrability instead of a Court. Section 10.17(b) illegally confers upon the arbitrator the authority "to determine whether or not the Dispute should be subject to the ADR Process." But this Court has exclusive jurisdiction to determine whether an agreement to arbitrate was formed; that issue cannot be decided by an arbitrator. *AT&T Technologies v. Communications Workers of America et al.*, 475 U.S. 643, 649 (1986) ("The question of whether the parties agreed to arbitrate is to be decided by the court, not the arbitrator").
- 68. Plaintiff seeks declaratory relief that Section 10.17 is not an enforceable agreement to arbitrate because it constitutes a contract of adhesion that is procedurally and substantively unconscionable and, accordingly, does not mandate arbitration of the claims alleged herein.
- 69. If applicable, Section 10.17 would preclude effective vindication of Plaintiff's statutory claims for employment discrimination or retaliation by:
  - (a) shortening the statutes of limitation to a time before any governmental agency could complete its investigation because, if applicable, Section 10.17 would require that Plaintiff's claims "be filed within ninety calendar days after the date the Dispute first arose;"
  - (b) requiring that "the arbitrator must schedule the first hearing for a date not later than ninety calendar days following appointment of the arbitrator, and the hearings must be concluded within one hundred fifty calendar days from

appointment of the arbitrator" – timeframes which are shorter than the statutory period required for investigation by administrative agencies;

- (c) limiting Plaintiff's ability to use basic discovery methods because it "prohibits interrogatories and requests for admission to be sent to any party at any time;" and
- (d) requiring Plaintiff to "pay a pro-rata share of the fees and cost for the ADR Tribunal and the arbitrator" or, alternatively, face "a Final Award in favor of the non-defaulting party."
- 70. Each of the provisions identified herein individually renders Section 10.17 unenforceable as it relates to all or some of Plaintiff's claims. Alternatively, taken together, the provisions identified herein render Section 10.17 unenforceable as it relates to all or some of Plaintiff's claims. If applicable, Section 10.17 would quash Plaintiff's ability to effectively vindicate her statutory claims for employment discrimination or retaliation.
- 71. Plaintiff seeks declaratory relief that she and Sedgwick never formed an agreement to arbitrate statutory claims for employment discrimination or retaliation. Such claims are expressly excluded from the plain language of the Partnership Agreement. If they were intended to be included, the language to do so could have been included in a simple, straightforward manner the Partnership Agreement would simply state "all disputes" between a partner and the partnership must be arbitrated. Alternatively, Plaintiff seeks declaratory relief that the claims alleged herein do not fall with the scope of claims subject to arbitration under Section 10.17.
- 72. Plaintiff further requests that this Court issue an order staying Sedgwick's arbitration against Ribeiro (JAMS Ref. No. 1100084095) until such time as the Court can make the determination of whether an agreement to arbitrate the claims set forth herein exists.

#### VIII. SECOND CAUSE OF ACTION

### BREACH OF IMPLIED CONTRACT (Individual Claim)

73. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.

- 74. The obligations in the Partner Handbook regarding Equal Opportunity Practices constitute implied-in-fact contracts. The Handbook does not include any disclaimer disavowing its status as a contract, except with respect to certain issues in sections other than the Equal Opportunity Practices section. The Handbook contains no provision regarding arbitration.
- 75. During the course of her employment and in making internal complaints of gender discrimination and retaliation, Plaintiff relied on the promises in the Partner Handbook set forth herein that Defendant would not discriminate against her, would not retaliate against her, and would investigate her internal complaints in an even-handed and timely fashion. In response to her internal complaint and filing an administrative charge, she was sued in arbitration to obstruct the government's investigation of gender discrimination and retaliation and to avoid this filing.
- 76. Defendant breached its obligations by permitting discrimination and retaliation and failing to investigate her complaints and failing to pay Plaintiff in accordance with the terms of the Partner Handbook.
- 77. Plaintiff suffered damages as a result of Defendant's breach of its implied-in-fact obligations in the Partner Handbook.

#### IX. THIRD CAUSE OF ACTION

#### CALIFORNIA FAIR PAY ACT (California Labor Code §1197.5, et seq.) (Individual and Class Claims)

- 78. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
- 79. This Count is brought on behalf of Plaintiff and all members of the class.
- 80. Defendant has discriminated against Plaintiff and similarly-situated female employees in violation of the California Fair Pay Act, California Labor Code § 1197.5, et seq. by subjecting them to unequal pay on the basis of sex.
- 81. Defendant has discriminated against Plaintiff and similarly-situated female employees by treating them differently from and less preferably than similarly-situated male employees who performed jobs which required equal skill, effort, and responsibility, and which

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- 90. Further, Defendant knew of or showed reckless disregard for the fact that its conduct was in violation of the California Fair Pay Act.
- 91. As a result of Defendant's conduct alleged herein and/or Defendant's willful, knowing and intentional discrimination, Plaintiff has and will continue to suffer harm, including but not limited to, lost wages, lost benefits, and other financial loss.
- 92. Plaintiff should be awarded all legal and equitable remedies, including underpaid wages, liquidated damages and reasonable attorneys' fees under California Labor Code § 1197.5 and California Code of Civil Procedure § 1021.5.
- 93. Plaintiff also is entitled to civil penalties pursuant to California Labor Code §§ 1197.5 and 2699(f).
- 94. By reason of Defendant's willful retaliation, Plaintiff is entitled to all remedies available for violations of the anti-retaliation provision of the California Fair Pay Act, including reimbursement for lost wages and benefits and reasonable attorneys' fees.

#### XI. FIFTH CAUSE OF ACTION

## ILLINOIS EQUAL PAY ACT (820 ILCS 112/1, et seq.) (Individual and Class Claims)

- 95. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
- 96. This Count is brought on behalf of Plaintiff and all members of the class.
- 97. Defendant has discriminated against Plaintiff and similarly-situated female employees in violation of the Illinois Equal Pay Act, 820 ILCS 112/, et seq. by subjecting them to unequal pay on the basis of sex.
- 98. Defendant has discriminated against Plaintiff and similarly-situated female employees by treating them differently from and less preferably than similarly-situated male employees who performed jobs which required equal skill, effort, and responsibility, and which were performed under similar working conditions. Defendant also discriminated by subjecting them to less (discriminatory) pay and benefits in violation of the Illinois Equal Pay Act.
  - 99. As a result of Defendant's conduct alleged herein and/or Defendant's willful,

1	knowing and intentional discrimination, Plaintiff and similarly-situated female employees have	
2	suffered and will continue to suffer harm, including but not limited to, lost wages, lost benefits	
3	and other financial loss.	
4	100. Plaintiff and similarly-situated female employees should be awarded the entire	
5	amount of underpayment, interest, costs, reasonable attorneys' fees and other statutory penaltie	
6	or relief as may be allowed by the Court pursuant to 820 ILCS 112/30.	
7	XII. SIXTH CAUSE OF ACTION	
8	ILLINOIS EQUAL PAY ACT RETALIATION	
9	(820 ILCS 112/1, et seq.) (Individual)	
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11	101. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein	
12	102. Plaintiff alleges that she suffered retaliation and harm because of her protected	
13	activity, in violation of 820 ILCS 112/10(b).	
14	103. As a result of Defendant's willful retaliation, Plaintiff has suffered and continue	
15	to suffer materially adverse harm, including but not limited to lost wages and benefits,	
16	diminished employment opportunities, and humiliation, embarrassment, emotional and physica	
17	distress, and mental anguish.	
18	104. By reason of Defendant's willful retaliation, Plaintiff is entitled to all remedies	
19	available for violations of the anti-retaliation provision of the Illinois Equal Pay Act, including	
20	back pay, front pay, the value of any lost benefits, liquidated damages, and any other legal and	
21	equitable relief as may be appropriate to effectuate the purposes of the statute pursuant to 820	
22	ILCS 112/35(b).	
23	XIII. SEVENTH CAUSE OF ACTION	
24	FEDERAL EQUAL PAY ACT	
25	(29 U.S.C. § 206, et seq.) (Individual and Class Claims)	
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27	105. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.	
28	106. This Count is brought on behalf of Plaintiff and all members of the class.	
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- Defendant has discriminated against Plaintiff and similarly-situated female employees in violation of the Fair Labor Standards Act of 1938, 29 U.S.C. § 206(d), as amended by the Equal Pay Act of 1963, by subjecting them to unequal pay on the basis of sex.
- Defendant has discriminated against Plaintiff and similarly-situated female employees by treating them differently from and less preferably than similarly-situated male employees who performed jobs requiring equal skill, effort, and responsibility, and which were performed under similar working conditions. Defendant also discriminated by subjecting them to less (discriminatory) pay and benefits in violation of the Equal Pay Act.
- Defendant caused, attempted to cause, contributed to, or caused the continuation of, the wage rate discrimination based on sex in violation of the Equal Pay Act. Further, Defendant knew of or showed reckless disregard for the fact that its conduct was in violation of
- As a result of Defendant's conduct alleged herein and/or Defendant's willful, knowing and intentional discrimination, Plaintiff and similarly-situated female employees have suffered and will continue to suffer harm, including but not limited to, lost wages, lost benefits,
- Plaintiff and similarly-situated female employees should be awarded all legal and equitable remedies, including underpaid wages, doubled compensatory awards for all willful violations and reasonable attorneys' fees under 29 U.S.C. § 216, et seq.
  - Reasonable attorneys' fees should be awarded under 29 U.S.C. § 216(b).

#### XIV. EIGHTH CAUSE OF ACTION

#### FEDERAL EQUAL PAY ACT -- RETALIATION (29 U.S.C. § 215(a)(3)) (Individual)

- Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
- Plaintiff alleges that she suffered retaliation and harm because of her protected
  - As a result of Defendant's willful retaliation, Plaintiff has suffered and continues

and conducted in callous disregard of the rights of Plaintiff and similarly-situated female employees, entitling them to punitive damages.

- 123. As a result of Defendant's conduct alleged in this complaint, Plaintiff and similarly-situated female employees have suffered and continue to suffer harm, including but not limited to lost wages and benefits, diminished employment opportunities, and humiliation, embarrassment, emotional and physical distress, and mental anguish.
- 124. Defendant's policies, practices and/or procedures have produced a disparate impact on Plaintiff and similarly-situated female employees with respect to their wages and other terms and conditions of employment.
- 125. By reason of Defendant's discrimination, Plaintiff and similarly-situated female employees are entitled to all legal and equitable remedies available for violations of FEHA, including an award of compensatory and punitive damages.
- 126. Attorneys' fees should be awarded under Cal. Gov. Code § 12940 and California Code of Civil Procedure § 1021.5.

#### XVI. TENTH CAUSE OF ACTION

## CALIFORNIA FAIR EMPLOYMENT AND HOUSING ACT -- RETALIATION (Cal. Gov. Code 12940(h)) (Individual)

- 127. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
- 128. Plaintiff alleges that she suffered retaliation and harm because of her protected activity, in violation of Cal. Gov. Code 12940(h).
- 129. As a result of Defendant's retaliation, Plaintiff has suffered and continues to suffer harm, including but not limited to lost wages and benefits, diminished employment opportunities, and humiliation, embarrassment, emotional and physical distress, and mental anguish.
- 130. By reason of Defendant's unlawful retaliation, Plaintiff is entitled to all remedies available for violations of the anti-retaliation provision of FEHA, including an award of compensatory and punitive damages.

1	131. Attorneys' fees should be awarded under Cal. Gov. Code § 12940 and California
2	Code of Civil Procedure § 1021.5.
3	XVII. <u>ELEVENTH CAUSE OF ACTION</u>
4	FAILURE TO PREVENT DISCRIMINATORY PRACTICES IN VIOLATION OF
5	FAIR EMPLOYMENT AND HOUSING ACT (California Government Code § 12940, et seq.)
6	(Individual Claim)
7	132. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
8	133. At all relevant times mentioned herein, California Government Code Section
9	12940, et seq. was in full force and effect and binding upon Defendant and its employees.
10	Section 12940(k) provides that it is an unlawful employment practice for an employer "to fail to
11	take all reasonable steps necessary to prevent discrimination from occurring."
12	134. Through its acts and omissions, Defendant failed in its affirmative duty to take all
13	reasonable steps necessary to prevent discrimination on the basis of gender from occurring in
14	violation of California Government Code § 12940(k).
15	135. As a direct and proximate result of Defendant's unlawful conduct, Plaintiff
16	suffered and will continue to suffer economic and non-economic compensatory damages for
17	which Defendant is liable, including but not limited to pain and suffering, the loss of past and
18	future salary, wages, benefits, and other privileges and conditions of employment in an amount
19	to be proven at trial.
20	136. As a result of Defendant's unlawful acts, Plaintiff is entitled to compensatory
21	damages, equitable relief, attorneys' fees, and costs.
22	XVIII. TWELFTH CAUSE OF ACTION
23	ILLINOIS HUMAN RIGHTS ACT
24	(740 ILCS 5/, et seq.) (Individual and Class Claims)
25	(Disparate Treatment and Disparate Impact Claims)
26	137. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
27	138. This Count is brought on behalf of Plaintiff and all members of the class.
28	139. Defendant has discriminated against Plaintiff and similarly-situated female
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employees in violation of the Illinois Human Rights Act ("IHRA"), 740 ILCS 5/, et seq., by subjecting them to different and adverse treatment on the basis of their gender. Plaintiff and similarly-situated female employees have suffered both disparate impact and disparate treatment as a result of Defendant's conduct.

- 140. Defendant has discriminated against Plaintiff and similarly-situated female employees by treating them differently from and less preferably than similarly-situated male employees and by subjecting female employees to discriminatory (lesser) pay and benefits, discriminatory terms and conditions of employment, and other forms of discrimination, in violation of the IHRA.
- 141. Defendant's conduct has been intentional, deliberate, willful, malicious, reckless and conducted in callous disregard of the rights of Plaintiff and similarly-situated female employees, entitling them to punitive damages.
- 142. As a result of Defendant's conduct alleged in this complaint, Plaintiff and similarly-situated female employees have suffered and continue to suffer harm, including but not limited to lost wages and benefits, diminished employment opportunities, and humiliation, embarrassment, emotional and physical distress, and mental anguish.
- 143. Defendant's policies, practices and/or procedures have produced a disparate impact on Plaintiff and similarly-situated female employees with respect to their wages and other terms and conditions of employment.
- 144. Plaintiff and similarly-situated female employees should be awarded all legal and equitable remedies, including underpaid wages, actual damages for emotional distress, prejudgment interest, and reasonable attorneys' fees under 775 ILCS 5/8A-104.

#### XIX. THIRTEENTH CAUSE OF ACTION

## ILLINOIS HUMAN RIGHTS ACT RETALIATION (740 ILCS 5/, et seq.) (Individual)

145. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.

- 146. Plaintiff alleges that she suffered retaliation and harm because of her protected activity, in violation of 775 ILCS 5/6-101(A).
- 147. As a result of Defendant's retaliation, Plaintiff has suffered and continues to suffer harm, including but not limited to lost wages and benefits, diminished employment opportunities, and humiliation, embarrassment, emotional and physical distress, and mental anguish.
- 148. By reason of Defendant's unlawful retaliation, Plaintiff is entitled all legal and equitable remedies, including underpaid wages, actual damages for emotional distress, prejudgment interest, and reasonable attorneys' fees under 775 ILCS 5/8A-104.

#### XX. FOURTEENTH CAUSE OF ACTION

# TITLE VII GENDER DISCRIMINATION (42 U.S.C. § 2000e, et seq.) (Individual and Class Claims) (Disparate Treatment and Disparate Impact Discrimination)

- 149. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
- 150. This Count is brought on behalf of Plaintiff and all members of the class.
- 151. Defendant has discriminated against Plaintiff and similarly-situated female employees in violation of Title VII of the Civil Rights Act 42 U.S.C. § 2000e, et seq., as amended by the Civil Rights Act of 1991 ("Title VII"), by subjecting them to different and adverse treatment on the basis of their gender. Plaintiff and similarly-situated female employees have suffered both disparate impact and disparate treatment as a result of Defendant's conduct.
- 152. Defendant has discriminated against Plaintiff and similarly-situated female employees by treating them less preferably than similarly-situated male employees and by subjecting them to discriminatory (lesser) pay and benefits, discriminatory terms and conditions of employment, and other forms of discrimination, in violation of Title VII.
- 153. Defendant's conduct has been intentional, deliberate, willful, malicious, reckless and conducted in callous disregard of the rights of Plaintiff and similarly-situated female employees, entitling them to punitive damages.
  - 154. By reason of the continuous nature of Defendant's discriminatory conduct, which

has persisted throughout the employment of Plaintiff and similarly-situated female employees, they are entitled to application of the continuing violations doctrine to all violations alleged herein. Additionally, Plaintiff, on behalf of herself and others similarly situated, specifically invokes the Lilly Ledbetter Fair Pay Act provisions of Title VII.

- 155. As a result of Defendant's conduct alleged in this complaint, Plaintiff and similarly-situated female employees have suffered and continue to suffer harm, including but not limited to lost wages and benefits, diminished employment opportunities, and humiliation, embarrassment, emotional and physical distress, and mental anguish.
- 156. Defendant's policies, practices and/or procedures have produced a disparate impact on Plaintiff and similarly-situated female employees with respect to their wages and other terms and conditions of employment.
- 157. By reason of Defendant's discrimination, Plaintiff and similarly-situated female employees are entitled to all remedies available for violations of Title VII, including an award of punitive damages. Reasonable attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

#### XXI. FIFTEENTH CAUSE OF ACTION

#### TITLE VII RETALIATION (42 U.S.C. § 2000e, et seq.) (Individual)

- 158. Plaintiff incorporates all paragraphs of this Complaint as if fully set forth herein.
- 159. Plaintiff alleges that she suffered retaliation and harm because of her protected activity, in violation of 42 U.S.C. § 2000e-3(a).
- 160. As a result of Defendant's retaliation, Plaintiff has suffered and continues to suffer harm, including but not limited to lost wages and benefits, diminished employment opportunities, and humiliation, embarrassment, emotional and physical distress, and mental anguish.
- 161. By reason of Defendant's discrimination, Plaintiff is entitled to all remedies available for violations of the anti-retaliation provision of Title VII, including an award of punitive damages. Reasonable attorneys' fees should be awarded under 42 U.S.C. § 2000e-5(k).

PRAYER FOR RELIEF 2 WHEREFORE, Plaintiff respectfully requests that this Court find against Defendant as 3 follows: 4 1. Stay Sedgwick's arbitration against Ribeiro (JAMS Ref. No. 1100084095) until 5 such time as this Court determines whether Section 10.17 of the Partnership Agreement is a 6 properly formed arbitration agreement pursuant to which any claims identified herein is subject 7 to arbitration; 2. 8 Declare that Section 10.17 of this Partnership Agreement does not require 9 arbitration of any claims identified herein; 10 3. Certify this case as a class action; 11 4. Designate Plaintiff as Class Representative and designate Plaintiff's counsel of 12 record as Class Counsel; 13 5. Declare that Sedgwick's acts, conduct, policies and practices are unlawful and 14 violate Title VII, the Federal Equal Pay Act, the California Fair Employment and Housing Act, 15 the California Fair Pay Act, the Illinois Human Rights Act, and the Illinois Equal Pay Act. 16 6. Declare that Sedgwick engages in a pattern or practice of gender discrimination 17 against females and employs policies and practices that have an unlawful disparate impact on 18 females; 19 7. Issue a permanent injunction against Defendant and any and all persons acting in 20 concert with Defendant from engaging in any conduct violating the laws herein; 21 8. Award Plaintiff and all others similarly situated the value of all compensation and 22 benefits lost and that they will lose in the future as a result of Sedgwick's unlawful conduct; 23 9. Award Plaintiff and all others similarly situated compensatory and punitive 24 damages; 25 10. Award Plaintiff and all others similarly situated prejudgment interest and 26 attorneys' fees, costs and disbursements, as provided by law; 27 11. Award Plaintiff and all others similarly situated such other make-whole equitable, 28

1	injunctive and legal relief as this Court deems just and proper to end the discrimination and fairly
2	compensate Plaintiff and others similarly situated; and
3	12. Award Plaintiff and all others similarly situated such other relief as this Court
4	deems just and proper.
5	Respectfully submitted on behalf of Plaintiff and those similarly situated,
6	Dated: July 26, 2016 By:
7	Sharon R. Vinick
8	
9	DEMAND FOR JURY TRIAL
10	Plaintiff hereby demands a trial by jury for each and every claim for which she has a right
11	to jury trial.
12	9101
13	Dated: July 26, 2016 By:
14	Sharon R. Vinick
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COMPLAINT FOR DAMAGES

CASE NUMBER: CGC-16-553231 TRACI RIBEIRO VS. SEDGWICK LLP

#### **NOTICE TO PLAINTIFF**

A Case Management Conference is set for:

DATE: DEC-28-2016

TIME: 10:30AM

PLACE: Department 610

400 McAllister Street

San Francisco, CA 94102-3680

All parties must appear and comply with Local Rule 3.

CRC 3.725 requires the filing and service of a case management statement form CM-110 no later than 15 days before the case management conference. However, it would facilitate the issuance of a case management order **without an appearance** at the case management conference if the case management statement is filed, served and lodged in Department 610 twenty-five (25) days before the case management conference.

Plaintiff must serve a copy of this notice upon each party to this action with the summons and complaint. Proof of service subsequently filed with this court shall so state. This case is eligible for electronic filing and service per Local Rule 2.11. For more information, please visit the Court's website at www.sfsuperiorcourt.org under Online Services.

#### **ALTERNATIVE DISPUTE RESOLUTION POLICY REQUIREMENTS**

IT IS THE POLICY OF THE SUPERIOR COURT THAT EVERY CIVIL CASE PARTICIPATE IN EITHER MEDIATION, JUDICIAL OR NON-JUDICIAL ARBITRATION, THE EARLY SETTLEMENT PROGRAM OR SOME SUITABLE FORM OF ALTERNATIVE DISPUTE RESOLUTION PRIOR TO A TRIAL.

(SEE LOCAL RULE 4)

Plaintiff must serve a copy of the Alternative Dispute Resolution Information Package on each defendant along with the complaint. All counsel must discuss ADR with clients and opposing counsel and provide clients with a copy of the Alternative Dispute Resolution Information Package prior to filing the Case Management Statement.

[DEFENDANTS: Attending the Case Management Conference does not take the place of filing a written response to the complaint. You must file a written response with the court within the time limit required by law. See Summons.]

Superior Court Alternative Dispute Resolution Coordinator 400 McAllister Street, Room 103 San Francisco, CA 94102 (415) 551-3869

See Local Rules 3.3, 6.0 C and 10 B re stipulation to judge pro tem.

	CM-110		
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address):	FOR COURT USE ONLY		
F			
TELEPHONE NO.: FAX NO. (Optional):			
E-MAIL ADDRESS (Optional):			
ATTORNEY FOR (Name):			
SUPERIOR COURT OF CALIFORNIA, COUNTY OF			
STREET ADDRESS:			
MAILING ADDRESS:			
CITY AND ZIP CODE:			
BRANCH NAME:			
PLAINTIFF/PETITIONER:			
DEFENDANT/RESPONDENT:			
CASE MANAGEMENT STATEMENT	CASE NUMBER:		
	CASE NOWDER.		
(Check one): UNLIMITED CASE   LIMITED CASE   (Amount demanded is \$25,000			
exceeds \$25,000) or less)			
A CASE MANACEMENT CONFEDENCE :			
A CASE MANAGEMENT CONFERENCE is scheduled as follows:			
Date: Time: Dept.:	Div.: Room:		
Address of court (if different from the address above):			
Notice of Intent to Appear by Telephone, by (name):			
INSTRUCTIONS: All applicable boxes must be checked, and the specified	information must be provided		
Party or parties (answer one):	, , , , , , , , , , , , , , , , , , ,		
a. This statement is submitted by party (name):			
b. This statement is submitted by party (names):			
2. Carried Statement to Salamited Johns by Parties (Hames).			
<ol><li>Complaint and cross-complaint (to be answered by plaintiffs and cross-complainant)</li></ol>	s only)		
a. The complaint was filed on (date):			
b The cross-complaint, if any, was filed on (date):			
3. Service (to be answered by plaintiffs and cross-complainants only)			
a. All parties named in the complaint and cross-complaint have been served,	have appeared or have been dismissed		
b. The following parties named in the complaint or cross-complaint	nave appeared, or have been distributed.		
(1) have not been served (specify names and explain why not):	•		
(1) Line Have Not book out to a (opasity harnes and explain why holy.			
(2) have been served but have not appeared and have not been of	lismissed (specify names):		
(3) have had a default entered against them (specify names):			
c. The following additional parties may be added (specify names, nature of inv	rolvement in case, and date by which		
they may be served):			
	•		
. Description of case			
- The state of the	cluding causes of action):		
	- /		

CASE MANAGEMENT STATEMENT

Page 1 of 5

### Case 3:16-cv-04507-WHA Document 1 Filed 08/10/16 Page 39 of 59

		CM-110
	PLAINTIFF/PETITIONER:	CASE NUMBER:
	DEFENDANT/RESPONDENT:	
4.	b. Provide a brief statement of the case, including any damages. (If personal injury damages claimed, including medical expenses to date [indicate source and amount], earnings to date, and estimated future lost earnings. If equitable relief is sought, designed.	. estimated future medical expenses, lost
	(If more space is needed, check this box and attach a page designated as Attach	ment 4b.)
5.		one party, provide the name of each party
6.	Trial date  a The trial has been set for (date):  b No trial date has been set. This case will be ready for trial within 12 months of not, explain):	the date of the filing of the complaint (if
	c. Dates on which parties or attorneys will not be available for trial (specify dates and ex	xplain reasons for unavailability):
7.	Estimated length of trial  The party or parties estimate that the trial will take (check one):  a days (specify number):  b hours (short causes) (specify):	
8.	Trial representation (to be answered for each party)  The party or parties will be represented at trial by the attorney or party listed in the a. Attorney:  b. Firm:  c. Address:	ne caption by the following:
	d. Telephone number:  f. Fax numbe	r:
	e. E-mail address:  G. Party representation is described in Attachment 8.	esented:
9.	Preference  This case is entitled to preference (specify code section):	
10.	Alternative dispute resolution (ADR)	
	a. ADR information package. Please note that different ADR processes are available the ADR information package provided by the court under rule 3.221 for information a court and community programs in this case.	in different courts and communities; read about the processes available through the
	(1) For parties represented by counsel: Counsel has has not provide in rule 3.221 to the client and reviewed ADR options with the client.	d the ADR information package identified
	(2) For self-represented parties: Party has has not reviewed the ADR inf	formation package identified in rule 3.221.
	<ul> <li>b. Referral to judicial arbitration or civil action mediation (if available).</li> <li>(1) This matter is subject to mandatory judicial arbitration under Code of Civil Procedure section 1775.3 because the amoun statutory limit.</li> </ul>	racedure section 11.41.11 or to civil action
	(2) Plaintiff elects to refer this case to judicial arbitration and agrees to limit reco Civil Procedure section 1141.11.	very to the amount specified in Code of
	(3) This case is exempt from judicial arbitration under rule 3.811 of the California mediation under Code of Civil Procedure section 1775 et seq. (specify exempted)	a Rules of Court or from civil action aption):

### Case 3:16-cv-04507-WHA Document 1 Filed 08/10/16 Page 40 of 59

CM-110				
PLAINTIFF/PETITIO		CASE NUMBER:		
DEFENDANT/RESPONDENT:				
10. c. Indicate the ADR process or processes that the party or parties are willing to participate in, have agreed to participate in, or have already participated in (check all that apply and provide the specified information):				
	The party or parties completing this form are willing to participate in the following ADR processes (check all that apply):	If the party or parties completing this form in the case have agreed to participate in or have already completed an ADR process or processes, indicate the status of the processes (attach a copy of the parties' ADR stipulation):		
(1) Mediation		Mediation session not yet scheduled  Mediation session scheduled for (date):  Agreed to complete mediation by (date):  Mediation completed on (date):		
(2) Settlement conference		Settlement conference not yet scheduled  Settlement conference scheduled for (date):  Agreed to complete settlement conference by (date):  Settlement conference completed on (date):		
(3) Neutral evaluation		Neutral evaluation not yet scheduled  Neutral evaluation scheduled for (date):  Agreed to complete neutral evaluation by (date):  Neutral evaluation completed on (date):		
(4) Nonbinding judicial arbitration		Judicial arbitration not yet scheduled  Judicial arbitration scheduled for (date):  Agreed to complete judicial arbitration by (date):  Judicial arbitration completed on (date):		
(5) Binding private arbitration		Private arbitration not yet scheduled  Private arbitration scheduled for (date):  Agreed to complete private arbitration by (date):  Private arbitration completed on (date):		
(6) Other (specify):		ADR session not yet scheduled  ADR session scheduled for (date):  Agreed to complete ADR session by (date):  ADR completed on (date):		

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	CM-11
PLAINTIFF/PETIT;ONER:	CASE NUMBER:
DEFENDANT/RESPONDENT:	
11. Insurance  a. Insurance carrier, if any, for party filing this statement (name):  b. Reservation of rights: Yes No  c. Coverage issues will significantly affect resolution of this case (explain):	
12. Jurisdiction Indicate any matters that may affect the court's jurisdiction or processing of this case and a Bankruptcy Other (specify): Status:	describe the status.
13. Related cases, consolidation, and coordination a. There are companion, underlying, or related cases.  (1) Name of case: (2) Name of court: (3) Case number: (4) Status:  Additional cases are described in Attachment 13a.  b. A motion to consolidate coordinate will be filed by (nat	me party):
14. <b>Bifurcation</b> The party or parties intend to file a motion for an order bifurcating, severing, or coord action (specify moving party, type of motion, and reasons):	linating the following issues or causes of
15. Other motions  The party or parties expect to file the following motions before trial (specify moving p	arty, type of motion, and issues):
<ul> <li>16. Discovery</li> <li>a The party or parties have completed all discovery.</li> <li>b The following discovery will be completed by the date specified (describe all anti- Party</li></ul>	cipated discovery): <u>Date</u>
c. The following discovery issues, including issues regarding the discovery of electranticipated (specify):	onically stored information, are

### Case 3:16-cv-04507-WHA Document 1 Filed 08/10/16 Page 42 of 59

	CM-1
PLAINTIFF/PETITIONER:	CASE NUMBER:
DEFENDANT/RESPONDENT:	
of Civil Procedure sections 90-98 will apply to this cab. This is a limited civil case and a motion to withdraw t	ed is \$25,000 or less) and the economic litigation procedures in Code ase. the case from the economic litigation procedures or for additional or why economic litigation procedures relating to discovery or trial
18. Other issues  The party or parties request that the following additional conference (specify):	matters be considered or determined at the case management
19. <b>Meet and confer</b> a. The party or parties have met and conferred with all post of Court (if not, explain):	parties on all subjects required by rule 3.724 of the California Rules
<ul> <li>After meeting and conferring as required by rule 3.724 of (specify):</li> </ul>	the California Rules of Court, the parties agree on the following
20. Total number of pages attached (if any):  I am completely familiar with this case and will be fully prepared to as well as other issues raised by this statement, and will possess the case management conference, including the written authority of Date:	he authority to enter into stigulations on these issues at the time of
(TYPE OR PRINT NAME)	(SIGNATURE OF PARTY OR ATTORNEY)
(TYPE OR PRINT NAME)	(SIGNATURE OF PARTY OR ATTORNEY)  Additional signatures are attached.



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### Superior Court of California, County of San Francisco

# **Alternative Dispute Resolution Program Information Package**



The plaintiff must serve a copy of the ADR information package on each defendant along with the complaint. (CRC 3.221(c))

#### WHAT IS ADR?

Alternative Dispute Resolution (ADR) is the term used to describe the various options available for settling a dispute without a trial. There are many different ADR processes, the most common forms of which are mediation, arbitration and settlement conferences. In ADR, trained, impartial people decide disputes or help parties decide disputes themselves. They can help parties resolve disputes without having to go to court.

#### WHY CHOOSE ADR?

"It is the policy of the Superior Court that every noncriminal, nonjuvenile case participate either in an early settlement conference, mediation, arbitration, early neutral evaluation or some other alternative dispute resolution process prior to trial." (Local Rule 4)

ADR can have a number of advantages over traditional litigation:

- ADR can save time. A dispute often can be resolved in a matter of months, even weeks, through ADR, while a lawsuit can take years.
- ADR can save money, including court costs, attorney fees, and expert fees.
- ADR encourages participation. The parties may have more opportunities to tell their story than in court and may have more control over the outcome of the case.
- ADR is more satisfying. For all the above reasons, many people participating in ADR have reported a high degree of satisfaction.

#### **HOW DO I PARTICIPATE IN ADR?**

Litigants may elect to participate in ADR at any point in a case. General civil cases may voluntarily enter into the court's ADR programs by any of the following means:

- Filing a Stipulation to ADR: Complete and file the Stipulation form (attached to this packet) at the clerk's office located at 400 McAllister Street, Room 103;
- Indicating your ADR preference on the Case Management Statement (also attached to this packet); or
- Contacting the court's ADR office (see below) or the Bar Association of San Francisco's ADR Services at 415-782-8905 or <a href="www.sfbar.org/adr">www.sfbar.org/adr</a> for more information.

For more information about ADR programs or dispute resolution alternatives, contact:

Superior Court Alternative Dispute Resolution 400 McAllister Street, Room 103, San Francisco, CA 94102 415-551-3869

Or, visit the court ADR website at www.sfsuperiorcourt.org

The San Francisco Superior Court offers different types of ADR processes for general civil matters; each ADR program is described in the subsections below:

#### 1) SETTLEMENT CONFERENCES

The goal of settlement conferences is to provide participants an opportunity to reach a mutually acceptable settlement that resolves all or part of a dispute early in the litigation process.

(A) THE BAR ASSOCIATION OF SAN FRANCISCO (BASF) EARLY SETTLEMENT PROGRAM (ESP): ESP remains as one of the Court's ADR programs (see Local Rule 4.3) but parties must select the program – the Court no longer will order parties into ESP.

**Operation:** Panels of pre-screened attorneys (one plaintiff, one defense counsel) each with at least 10 years' trial experience provide a minimum of two hours of settlement conference time, including evaluation of strengths and weakness of a case and potential case value. On occasion, a panelist with extensive experience in both plaintiff and defense roles serves as a sole panelist. BASF handles notification to all parties, conflict checks with the panelists, and full case management. The success rate for the program is 78% and the satisfaction rate is 97%. Full procedures are at: <a href="https://www.sfbar.org/esp">www.sfbar.org/esp</a>.

Cost: BASF charges an administrative fee of \$295 per party with a cap of \$590 for parties represented by the same counsel. Waivers are available to those who qualify. For more information, call Marilyn King at 415-782-8905, email <a href="mailto:administrative-emailto:admini

**(B) MANDATORY SETTLEMENT CONFERENCES:** Parties may elect to apply to the Presiding Judge's department for a specially-set mandatory settlement conference. See Local Rule 5.0 for further instructions. Upon approval of the Presiding Judge, the court will schedule the conference and assign the case for a settlement conference.

#### 2) MEDIATION

Mediation is a voluntary, flexible, and confidential process in which a neutral third party facilitates negotiations. The goal of mediation is to reach a mutually satisfactory agreement that resolves all or part of a dispute after exploring the interests, needs, and priorities of the parties in light of relevant evidence and the law.

(A) MEDIATION SERVICES OF THE BAR ASSOCIATION OF SAN FRANCISCO, in cooperation with the Superior Court, is designed to help civil litigants resolve disputes before they incur substantial costs in litigation. While it is best to utilize the program at the outset of litigation, parties may use the program at any time while a case is pending.

Operation: Experienced professional mediators, screened and approved, provide one hour of preparation time and the first two hours of mediation time. Mediation time beyond that is charged at the mediator's hourly rate. BASF pre-screens all mediators based upon strict educational and experience requirements. Parties can select their mediator from the panels at <a href="https://www.sfbar.org/mediation">www.sfbar.org/mediation</a> or BASF can assist with mediator selection. The BASF website contains photographs, biographies, and videos of the mediators as well as testimonials to assist with the selection process. BASF staff handles conflict checks and full case management. Mediators work with parties to arrive at a mutually agreeable solution. The success rate for the program is 64% and the satisfaction rate is 99%.

**Cost:** BASF charges an administrative fee of \$295 per party. The hourly mediator fee beyond the first three hours will vary depending on the mediator selected. Waivers of the administrative fee are available to those who qualify. For more information, call Marilyn King at 415-782-8905, email <a href="mailto:administrative">administrative</a> fee are available to those who qualify. For more information, call Marilyn King at 415-782-8905, email <a href="mailto:administrative">administrative</a> fee are available to those who qualify.

**(B) JUDICIAL MEDIATION** provides mediation with a San Francisco Superior Court judge for civil cases, which include but are not limited to, personal injury, construction defect, employment, professional malpractice, insurance coverage, toxic torts and industrial accidents. Parties may utilize this program at anytime throughout the litigation process.

**Operation:** Parties interested in judicial mediation should file a Stipulation to Judicial Mediation indicating a joint request for inclusion in the program. A preference for a specific judge may be indicated. The court will coordinate assignment of cases for the program. There is no charge for the Judicial Mediation program.

**(C) PRIVATE MEDIATION:** Although not currently a part of the court's ADR program, parties may elect any private mediator of their choice; the selection and coordination of private mediation is the responsibility of the parties. Parties may find mediators and organizations on the Internet. The cost of private mediation will vary depending on the mediator selected.

#### 3) ARBITRATION

An arbitrator is neutral attorney who presides at a hearing where the parties present evidence through exhibits and testimony. The arbitrator applies the law to the facts of the case and makes an award based upon the merits of the case.

(A) JUDICIAL ARBITRATION: When the court orders a case to arbitration it is called "judicial arbitration". The goal of arbitration is to provide parties with an adjudication that is earlier, faster, less formal, and usually less expensive than a trial.

**Operation:** Pursuant to CCP 1141.11, all civil actions in which the amount in controversy is \$50,000 or less, and no party seeks equitable relief, shall be ordered to arbitration. (Upon stipulation of all parties, other civil matters may be submitted to judicial arbitration.) An arbitrator is chosen from the court's arbitration panel. Arbitrations are generally held between 7 and 9 months after a complaint has been filed. Judicial arbitration is not binding unless all parties agree to be bound by the arbitrator's decision. Any party may request a trial within 60 days after the arbitrator's award has been filed. Local Rule 4.2 allows for mediation in lieu of judicial arbitration, so long as the parties file a stipulation to mediate after the filing of a complaint. There is no cost to the parties for judicial arbitration.

(B) PRIVATE ARBITRATION: Although not currently a part of the court's ADR program, civil disputes may also be resolved through private arbitration. Here, the parties voluntarily consent to arbitration. If all parties agree, private arbitration may be binding and the parties give up the right to judicial review of the arbitrator's decision. In private arbitration, the parties select a private arbitrator and are responsible for paying the arbitrator's fees.

TO PARTICIPATE IN ANY OF THE COURT'S ADR PROGRAMS, PLEASE COMPLETE THE ATTACHED STIPULATION TO ADR AND SUBMIT IT TO THE COURT. YOU MUST ALSO CONTACT BASE TO ENROLL IN THE LISTED BASE PROGRAMS. THE COURT DOES NOT FORWARD COPIES OF STIPULATIONS TO BASE.



# Superior Court of California County of San Francisco



Hon. John K. Stewart Presiding Judge

### Judicial Mediation Program

JENIFFER B. ALCANTARA
ADR ADMINISTRATOR

The Judicial Mediation program offers mediation in civil litigation with a San Francisco Superior Court judge familiar with the area of the law that is the subject of the controversy. Cases that will be considered for participation in the program include, but are not limited to personal injury, professional malpractice, construction, employment, insurance coverage disputes, mass torts and complex commercial litigation. Judicial Mediation offers civil litigants the opportunity to engage in early mediation of a case shortly after filing the complaint in an effort to resolve the matter before substantial funds are expended. This program may also be utilized at anytime throughout the litigation process. The panel of judges currently participating in the program includes:

The Honorable Michael I. Begert The Honorable Suzanne R. Bolanos The Honorable Angela Bradstreet The Honorable Andrew Y.S. Cheng The Honorable Samuel K. Feng The Honorable Charles F. Haines

The Honorable Harold E. Kahn
The Honorable Curtis E.A. Karnow
The Honorable Charlene P. Kiesselbach
The Honorable James Robertson, II
The Honorable Richard B. Ulmer, Jr.
The Honorable Mary E. Wiss

Parties interested in Judicial Mediation should file a Stipulation to Judicial Mediation indicating a joint request for inclusion in the program and deliver a courtesy copy to Department 610. A preference for a specific judge may be indicated on the request, and although not guaranteed, every effort will be made to fulfill the parties' choice. Please allow at least 30 days from the filing of the form to receive the notice of assignment. The court's Alternative Dispute Resolution Administrator will facilitate assignment of cases that qualify for the program.

Note: Space and availability is limited. Submission of a stipulation to Judicial Mediation does *not* guarantee inclusion in the program. You will receive written notification from the court as to the outcome of your application.

Alternative Dispute Resolution 400 McAllister Street, Room 103, San Francisco, CA 94102 (415) 551-3869

### Case 3:16-cv-04507-WHA Document 1 Filed 08/10/16 Page 47 of 59

ATT	TORNEY OR PARTY WITHOUT ATTORNEY (Name and address)	FOR COURT USE ONLY	
TEL	LEPHONE NO.:		
АТТ	TORNEY FOR (Name):		
	PERIOR COURT OF CALIFORNIA, COUNTY OF SAN FRANCISCO McAllister Street	a with the Antonia lander and the third in the desirability from the Antonia lander and the	
	Francisco, CA 94102-4514		
PLA	AINTIFF/PETITIONER:	,	
DEF	FENDANT/RESPONDENT:		
	STIPULATION TO ALTERNATIVE DISPUTE RESOLUT	CASE NUMBER:	
		DEPARTMENT 610	
1)	The parties hereby stipulate that this action shall be s	ubmitted to the following ADR process:	
	a minimum of 2 hours of settlement conference time to	of San Francisco (BASF) - Pre-screened experienced attorneys provide for a BASF administrative fee of \$295 per party. Waivers are available to to all parties, conflict checks with the panelists, and full case	
	and the first two hours of mediation time for a BASF a	onal mediators, screened and approved, provide one hour of preparation dministrative fee of \$295 per party. Mediation time beyond that is charged strative fee are available to those who qualify. BASF assists parties with agement. <a href="https://www.sfbar.org/mediation">www.sfbar.org/mediation</a>	
	Private Mediation - Mediators and ADR provider organizations may also charge an administrative fee. I	ganizations charge by the hour or by the day, current market rates. ADR Parties may find experienced mediators and organizations on the Internet.	
	Judicial Arbitration - Non-binding arbitration is available to cases in which the amount in controversy is \$50,000 or less and nequitable relief is sought. The court appoints a pre-screened arbitrator who will issue an award. There is no fee for this program, <a href="https://www.sfsuperiorcourt.org">www.sfsuperiorcourt.org</a>		
	Judicial Mediation - The Judicial Mediation program judge familiar with the area of the law that is <a href="https://www.sfsuperiorcourt.org">www.sfsuperiorcourt.org</a>	n offers mediation in civil litigation with a San Francisco Superior Court the subject of the controversy. There is no fee for this program.	
	Judge Requested (see list of Judges currently participation)	ating in the program);	
	Date range requested for Judicial Mediation (from the	fillng of stipulation to Judicial Mediation):	
	☐ 30-90 days ☐ 90-120 days ☐ Other (please	specify)	
	Other ADR process (describe)		
2)		leted by (date):	
3)	Plaintiff(s) and Defendant(s) further agree as follows:		
Mar	ne of Party Stipulating	Name of Davids Officials (	
14011	ie of Faity Subulating	Name of Party Stipulating	
Nam	ne of Party or Attorney Executing Stipulation	Name of Party or Attorney Executing Stipulation	
Sign	nature of Party or Attorney	Signature of Party or Attorney	
□Р	Plaintiff Defendant Cross-defendant	☐ Plaintiff ☐ Defendant ☐ Cross-defendant	
Date	ed:	Dated:	
		signature(s) attached	
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# Superior Court of California County of San Francisco

#### **Expedited Jury Trial Information Sheet**

#### What is an expedited jury trial?

An expedited jury trial is a trial that is much faster and has a smaller jury than a traditional jury trial. An expedited jury trial differs from a regular jury trial in several ways:

- The trial will be shorter. Each side has 3 hours to make opening statements, present witnesses and evidence, and make closing statements.
- The jury will be smaller. There will be 8 jurors instead of 12.
- Choosing the jury will be faster. The parties will exercise fewer preemptory challenges.
- Parties will waive some post trial motions and rights to appeal. Appeals are allowed only if there is: (1) Misconduct of the judicial officer that materially affected substantial rights of a party; (2) Jury misconduct; or (3) Corruption or fraud or some other bad act that prevented a fair trial.

In addition, parties may not ask the judge to set the jury verdict aside, except on those same grounds.

# Does the jury have to reach a unanimous decision?

No. Just as in a traditional civil jury trial, only three-quarters of the jury must agree in order to reach a decision in an expedited jury trial. With 8 people on the jury, that means that at least 6 of the jurors must agree on the verdict in an expedited jury trial.

### is the decision of the jury binding on the parties?

Generally, yes. A verdict from a jury in an expedited jury trial is like a verdict in a traditional jury trial. However, parties who take part in expedited jury trials are allowed to make an agreement before the trial that guarantees that the defendant will pay a certain amount to the plaintiff even if the jury decides on a lower payment or no payment. That agreement may also impose a cap, or maximum, on the highest amount that a defendant has to pay, even if the jury decides on a higher amount. These agreements are commonly known as "high/low agreements."

#### How do I qualify for an expedited jury trial?

The process can be used in any civil case. To have an expedited jury trial, both sides must want one. Each side must agree that it will use only three hours to put on its case and agree to the other rules described above. This agreement must be put in writing in a Stipulation and submitted along with a Proposed Consent Order Granting an Expedited Jury Trial, which is given to the court for approval. The court will usually agree to the Consent Order.

#### How do I request an expedited jury trial?

To have an expedited jury trial, both sides must submit a Stipulation and Proposed Consent Order for Expedited Jury Trial to the court for approval. This may happen at three stages of litigation:

1) At Filing and Prior to Setting of a Trial Date: Parties may submit a Stipulation to Expedited Jury Trial to Dept. 610 using the attached short form (see below). Parties must

also submit a Proposed Consent Order for Expedited Jury Trial to Dept. 610.

- 2) After a Trial Date has been Set: Parties submit a Stipulation and Proposed Consent Order for Expedited Jury Trial directly to Dept. 206 at least 30 days prior to the assigned trial date.
- 3) After Trial Assignment: A Proposed Consent Order for Expedited Jury Trial may be submitted immediately to the assigned trial department not less than 30 days prior to the assigned trial date.

this stipulation form.

Also, after a case is assigned to a particular judge for trial, the parties may ask the trial judge to have an Expedited Jury Trial, and the judge may permit the parties to then sign the appropriate Stipulation and Proposed Consent Order for Expedited Jury Trial.

## Can I change my mind after agreeing to an expedited jury trial?

No, unless the other side or the court agrees. Once you and the other side have agreed to take part in an expedited jury trial the agreement is binding on both sides.

Expedited Jury Trial Request Please submit a copy of this request to Dept. 610.

Case No.	-	
Case Name:	. V.	
The parties would like	e this action to be submitted to an Ex	cpedited Jury Trial.
	mit a consent order to the Court on c	
	,	
Name of Party	Name of Party/Attorney	Signature of Party
		Dated:
Name of Party	Name of Party/Attorney	Signature of Party
		Dated:
Name of Party	Name of Party/Attorney	Signature of Party
		Dated:

You can find the law and rules governing expedited jury trials in Code of Civil Procedure sections 630.01–630.12 and in rules 3.1545–3.1552 of the California Rules of Court. You can find these at any county law library

Please note: a [Proposed] Consent Order for Expedited Jury Trial is still required in addition to

\*Information adapted from Judicial Council's Expedited Jury Trial Information Sheet EJT-010-INFO, New January 1, 2011

or online. The statutes are online at www.leginfo.ca.gov/calaw.html. The rules are at www.courts.ca.gov/rules.

SEYFARTH SHAW LLP Nick C. Geannacopulos (SBN 114822) **ELECTRONICALLY** 2 ngeannacopulos@seyfarth.com FILED Emily Barker (SBN 275166) 3 ebarker@seyfarth.com Superior Court of California, 560 Mission Street, 31st Floor County of San Francisco 4 San Francisco, California 94105 08/09/2016 Telephone: (415) 397-2823 Clerk of the Court BY:NOELIA RIVERA 5 Facsimile: (415) 397-8549 Deputy Clerk 6 Attorneys for Defendant 7 SEDGWICK LLP 8 9 SUPERIOR COURT OF THE STATE OF CALIFORNIA 10 COUNTY OF SAN FRANCISCO 11 12 TRACI RIBEIRO, on behalf of herself and all Case No. CGC-16-553231 13 others similarly situated, DEFENDANT SEDGWICK LLP'S Plaintiff. 14 ANSWER TO PLAINTIFF'S COMPLAINT FOR DAMAGES 15  $V_{\star}$ 16 SEDGWICK LLP. 17 Defendant. 18 Defendant Sedgwick LLP ("Defendant") hereby answers causes of action one through fifteen of 19 Plaintiff Traci Ribeiro's ("Plaintiff") unverified California class action Complaint ("Complaint") as 20 follows but in doing so reserves its right to enforce the binding contract between Plaintiff (and those 21 members of the putative collective and class who are partners in the Firm) which expressly provides for 22 arbitration of all disputes of the type alleged in the Complaint: 23 24 **ANSWER** 25 Pursuant to the provisions of California Code of Civil Procedure § 431.30(d), Defendant denies, 26 generally and specifically, each and every allegation, statement, matter and each purported cause of 27 action in Plaintiff's Complaint, and without limiting the generality of the foregoing, denies, generally 28 DEFENDANT SEDGWICK LLP'S ANSWER TO PLAINTIFF'S COMPLAINT FOR DAMAGES 28372212v.4

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and specifically, that Plaintiff has been damaged in the manner or sums alleged, or in any way at all, by reason of any acts or omissions of Defendant. Defendant further denies that Plaintiff's claims are appropriate for class or collective treatment.

#### SEPARATE DEFENSES

In further answer to Plaintiff's Complaint, Defendant alleges the following additional defenses. In asserting these defenses, Defendant does not assume the burden of proof as to matters that, pursuant to law, are Plaintiff's burden to prove.

#### FIRST SEPARATE DEFENSE

(Breach of Agreement to Arbitrate -- All Causes of Action)

1. A contract between Plaintiff (and those members of the putative collective and class who are partners in the Firm) and Defendant expressly provides for arbitration of all disputes of the type alleged in the Complaint. In addition, the agreement requires that the arbitrator determine arbitrability. Plaintiff has failed to comply with the agreement to arbitrate, despite Defendant's demand that this dispute be submitted to arbitration, and the agreement precludes this litigation. Defendant further reserves the right to enforce the arbitration agreement and to file a petition to compel arbitration and a motion to stay proceedings.

# **SECOND SEPARATE DEFENSESECOND SEPARATE DEFENSE** (Plaintiff Not an Employee -- Second through Fifteenth Causes of Action)

2. Plaintiff's claims are barred because she is not an employee within the meaning of Title VII, the California Fair Pay Act, the Illinois Equal Pay Act, the Federal Equal Pay Act, or the Illinois Human Rights Act.

#### THIRD SEPARATE DEFENSE

(Failure to Exhaust Administrative Remedies -- Third through Fourth, and Seventh through Fifteenth Causes of Action)

3. Plaintiff and the members of any class or collective Plaintiff purports to represent have failed to exhaust remedies available under the statutes alleged in the Complaint, and they are barred by reason of their failure to comply with those statutes.

#### FOURTH SEPARATE DEFENSE 1 (Scope of Administrative Charge -- Third through Fourth, and Seventh through Fifteenth Causes of 2 Action) 3 4. Plaintiff's Complaint contains allegations that do not appear in any timely and proper 4 administrative charge filed by Plaintiff before commencing this lawsuit and thus cannot form the basis 5 of any claim(s). 6 FIFTH SEPARATE DEFENSE 7 (Failure to Timely File Administrative Charge -- Third through Fourth, and Seventh through Fifteenth Causes of Action) 8 9 5. Plaintiff's claims are barred in whole or in part because she failed to file a proper charge 10 with an appropriate administrative agency within the required legal deadlines. 11 SIXTH SEPARATE DEFENSE (Failure to State a Cause of Action -- All Causes of Action) 12 13 6. The Complaint, and each purported cause of action contained therein, fails to state facts 14 sufficient to state a cause of action against Defendant. 15 SEVENTH SEPARATE DEFENSE (Standing -- Injunctive Relief Requested in Prayer) 16 17 7. Plaintiff lacks standing both as an individual and as a putative class representative to 18 bring claims for injunctive relief with regard to any claims she or putative class members should be/have 19 been elected to equity partnership as she removed herself from equity partnership consideration and 20 therefore is not a real party in interest. 21 EIGHTH SEPARATE DEFENSE 22 (Standing -- Third, Fifth, Seventh, Ninth, Twelfth, and Fourteenth Causes of Action) 23 8. Plaintiff lacks standing to bring claims in a representative capacity because she is not 24 similarly situated to the class of persons she seeks to represent. 25 26 27 28 3

#### NINTH SEPARATE DEFENSE

(Another Action Pending -- First Cause of Action)

9. When this Complaint was filed and at all times thereafter, an action has been pending in JAMS between Plaintiff and Defendant. The Demand for Arbitration alleges a claim for declaratory relief as to the arbitrability of this matter.

#### TENTH SEPARATE DEFENSE

(Prematurity -- First Cause of Action)

10. Defendant has not yet sought to compel arbitration in this action. The judicial obligation to resolve controversies brought before the courts does not require adjudication of conjectural or premature matters that are not actual and present controversies. Accordingly, this claim is premature, and the action should be abated as to this claim.

#### ELEVENTH SEPARATE DEFENSE

(Statutes of Limitations -- All Causes of Action)

11. The causes of action alleged in the Complaint are barred by the statutes of limitation prescribed by California Code of Civil Procedure sections 338, subdivision (b), 339 subdivision 1, and 343.

#### TWELFTH SEPARATE DEFENSE

(Consent -- Second through Fifteenth Causes of Action)

12. Plaintiff consented — indeed requested — not to be considered for equity partnership. Accordingly, Plaintiff is barred from pursuing this action the basis of which is the alleged failure of Defendant to elect her equity partner.

#### THIRTEENTH SEPARATE DEFENSE

(Failure to Mitigate -- Second through Fifteenth Causes of Action)

13. Plaintiff has failed to mitigate or attempt to mitigate damages, if in fact any damages have been or will be sustained, and any recovery by Plaintiff must be diminished or barred by reason thereof. Such failings included (but are not limited to) Plaintiff's affirmative decision to remove herself from consideration for election to the equity partnership.

#### FOURTEENTH SEPARATE DEFENSE

(Unclean Hands -- Second through Fifteenth Causes of Action)

14. As a result of the acts and omissions in the matters relevant to this Complaint, plaintiff has unclean hands to the extent that she breached her fiduciary duties under the Partnership Agreement and is therefore barred from asserting any claims against this Defendant.

#### FIFTEENTH SEPARATE DEFENSE

(Waiver -- All Causes of Action)

15. By conduct, representations and omissions, Plaintiff has waived, relinquished and/or abandoned, and is equitably estopped to assert, any claim for relief against this Defendant respecting the matters that are the subject of the Complaint.

#### SIXTEENTH SEPARATE DEFENSE

(Lack of Consideration -- Second Cause of Action)

16. Pursuant to 3 Cal. Affirmative Def. § 56:1 et seq. (2d ed.), Plaintiff is barred from recovering any damages or other relief because the Partnership Handbook is not an enforceable contract due to lack of consideration.

#### SEVENTEENTH SEPARATE DEFENSE

(Failure of Consideration -- Second Cause of Action)

17. Pursuant to 3 Cal. Affirmative Def. § 57:1 et seq. (2d ed.), Plaintiff is barred from recovering any damages or other relief because the Partnership Handbook is not an enforceable contract by reason of the failure of consideration that defeats the effectiveness of the purported contract between the parties. The Handbook is merely a summary of a selection of company policies.

#### EIGHTEENTH SEPARATE DEFENSE

(Prevention of Performance -- Second Cause of Action)

18. To the extent that the Partnership Handbook could be construed as a contract (which it cannot), Plaintiff is barred from bringing this cause of action to the extent her actions—for example, taking herself out of consideration for election to the equity partnership—frustrated any allegedly required performance on the part of Defendant. The Handbook is merely a summary of a selection of company policies.

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#### NINETEENTH SEPARATE DEFENSE

(Modification of Contract -- Second Cause of Action)

To the extent that the Partnership Handbook can be construed as a contract (which it cannot), the Second Cause of action is barred by reason of the provisions of Section 1698 of the California Civil Code governing the modification of contracts and the law relevant thereto. The alleged "contract" described in the Complaint, to the extent it exists, has been the subject of oral and written modifications agreed to by Plaintiff and Defendant, following which this Defendant has performed fully to the extent not prevented by Plaintiff. Accordingly, the original purported contract cannot form a basis for liability and the modified Handbook cannot support any liability on the part of Defendant. The Handbook is merely a summary of a selection of company policies.

#### TWENTIETH SEPARATE DEFENSE

(Legitimate Non-discriminatory Factors -- Second through Fifteenth Causes of Action)

20. Plaintiff may not obtain the relief requested in her Complaint because any allegedly adverse actions taken against her were based on legitimate, non-discriminatory and/or non-retaliatory factors and not any protected characteristic.

#### TWENTY-FIRST SEPARATE DEFENSE

(Mixed Motive Bar to Monetary Recovery -- Second through Fourth, and Ninth through Eleventh Causes of Action)

21. To the extent that Plaintiff demonstrates her protected status was a substantial motivating factor for any challenged action (which is what not), Defendant would have taken the same action absent the substantial motivating factor. As a result, the court may not award Plaintiff damages, backpay, or order reinstatement. *Harris v. City of Santa Monica*, (2013) 56 Cal. 4th 203, 211.

#### TWENTY-SECOND SEPARATE DEFENSE

(Business Necessity -- Second through Fifteenth Causes of Action)

Any differences in job assignments, career progression, promotions, discipline, demotions, trainings, evaluations, and compensation, or any other policy, practice, or procedure allegedly used by Defendant, are lawful because they are job-related and consistent with business necessity.

#### Target Street TWENTY-THIRD SEPARATE DEFENSE Differential Treatment Based on Bona Fide Factors -- Second through Fifteenth Causes of Action) 2 3 23. Plaintiff's and putative class members' claims are barred because any alleged differential 4 treatment of Plaintiff and putative class members by Defendant, or the application of different standards 5 of compensation, or different terms, conditions, or privileges of employment, was pursuant to a bona 6 fide seniority or merit system, or a system which measures earnings by quantity or quality of production 7 or which distinguishes between and among employees who work in different locations. 8 TWENTY-FOURTH SEPARATE DEFENSE (After Acquired Evidence -- Second through Fifteenth Causes of Action) 9 10 Plaintiff's claims are barred to the extent she engaged in misconduct which would have 24. 11 justified any adverse action by Defendant even if Defendant were unaware of the conduct at the time it 12 made such a decision. 13 TWENTY-FIFTH SEPARATE DEFENSE (Punitive Damages California Civil Code Section 3294 -- Second Through Fifteenth Causes of Action) 14 15 25. Plaintiff has failed to state facts sufficient to entitle her to an award of punitive damages 16 under California Civil Code section 3294, and any recovery of punitive damages or exemplary damages 17 based on the facts alleged in Plaintiff's Complaint, if any, would violate the United States and California 18 Constitutions. 19 TWENTY-SIXTH SEPARATE DEFENSE (Absence of Intentional Discrimination Precludes Punitive Damages -- Second Through Fifteenth 20 Causes of Action) 21 26. Defendant alleges that it has not engaged in intentional discrimination with respect to 22 Plaintiffs and putative class members, and Defendant therefore cannot be liable for punitive or 23 liquidated damages. 24 25 26 27 28

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#### TWENTY-SEVENTH SEPARATE DEFENSE

(Workers Compensation Exclusivity -- Second through Fifteenth Causes of Action)

27. To the extent that Plaintiff could be considered an employee (which she was not), her claims for purported emotional injuries allegedly suffered as a result of the conduct alleged against Defendant are barred in whole or in part because Plaintiff's sole and exclusive remedies, if any, lie under the California Workers' Compensation Act, Labor Code §§ 3601 et. seq.

#### ADDITIONAL DEFENSES

Defendant presently has insufficient knowledge or information upon which to form a belief as to whether there may be additional, as yet unstated, defenses and reserve the right to assert additional defenses in the event that discovery indicates that such defenses are appropriate.

#### PRAYER FOR RELIEF

WHEREFORE, Defendant prays for judgment as follows:

- 1. That Plaintiff take nothing by her Complaint on file herein;
- 2. That judgment be entered in favor of Defendant and against Plaintiff on all causes of action:
  - That Defendant be awarded reasonable attorneys' fees according to proof; 3.
  - 4. That Defendant be awarded attorney fees and costs of suit incurred herein; and
- 5. That Defendant be awarded such other and further relief as the Court may deem appropriate.

DATED: August 9, 2016	Respectfully submitted,
	SEYFARTH SHAW LLP
	Company of the second s
	By:
	Nick C. Geannacopulos Emily Barker Attorneys for Defendant SEDGWICK LLP
	Attorneys for Defendant SEDGWICK LLP

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Plaintiff's Counsel

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#### PROOF OF SERVICE

I am a resident of the State of California, over the age of eighteen years, and not a party to the within action. My business address is 560 Mission Street, 31st Floor, San Francisco, California 94105. On August 10, 2016, I served the within document(s):

#### DEFENDANT SEDGWICK LLP'S NOTICE OF REMOVAL OF CIVIL ACTION **CIVIL COVER SHEET**

5			
6	by placing the document(s) listed above in a sealed envelope with postage thereon fully prepaid in the United States mail at San Francisco, California, addressed as set forth below.		
7	by personally delivering the document(s) listed above to the person(s) at the address(es) set fort below.		
8 9 10	by placing the document(s) listed above, together with an unsigned copy of this declaration, in a sealed envelope or package provided by an overnight delivery carrier with postage paid on account and deposited for collection with the overnight carrier at San Francisco, California, addressed as set forth below.		
11 12		by transmitting the document(s) listed above, electronically, via the e-mail addresses set forth below.	
13	electronically by using the Court's ECF/CM System.		
14		Sharon R. Vinick	J. Bryan Wood (Pro Hac Vice)
15	-	Levy Vinick Burrell Hyams LLP 180 Grand Ave., Ste 1300	The Wood Law Office, LLC 303 W. Madison St., Ste 2650
16		Oakland, CA 94612 Telephone: 510-318-7702	Chicago, IL 60606 Telephone: 312-554-8600
17		sharon@levyvinick.com	bryan@jbryanwoodlaw.com

I am readily familiar with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with the U.S. Postal Service on that same day with postage thereon fully prepaid in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.

Plaintiff's Counsel

I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

Executed on August 10, 2016, at San Francisco, California.